

# The power of grids

Geometric Approximation Algorithms



# Overview

## techniques

grids

randomization and backward analysis

## geometric problems

closest pair

smallest disk enclosing  $k$  points

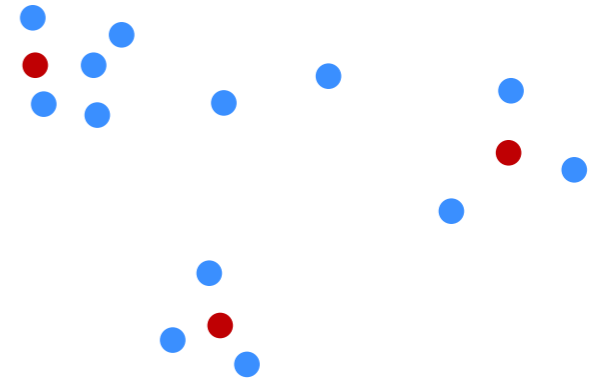
cluster radius (exercise)



# Cluster Radius

Exercise 1.2.A from book:

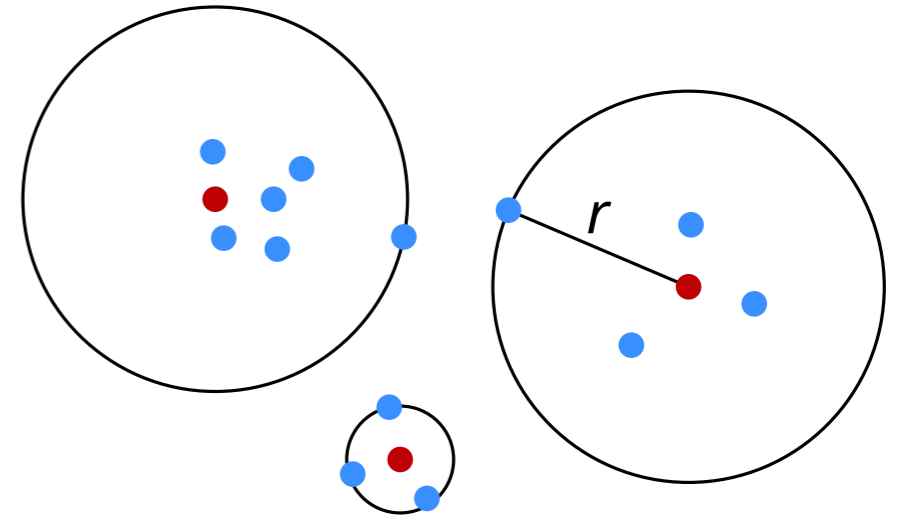
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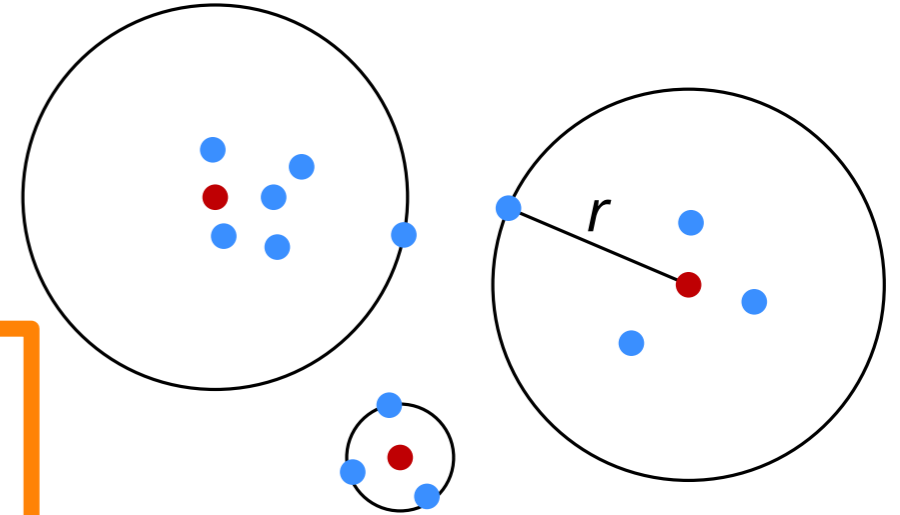
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**Question:**

How fast can we compute the clustering radius?

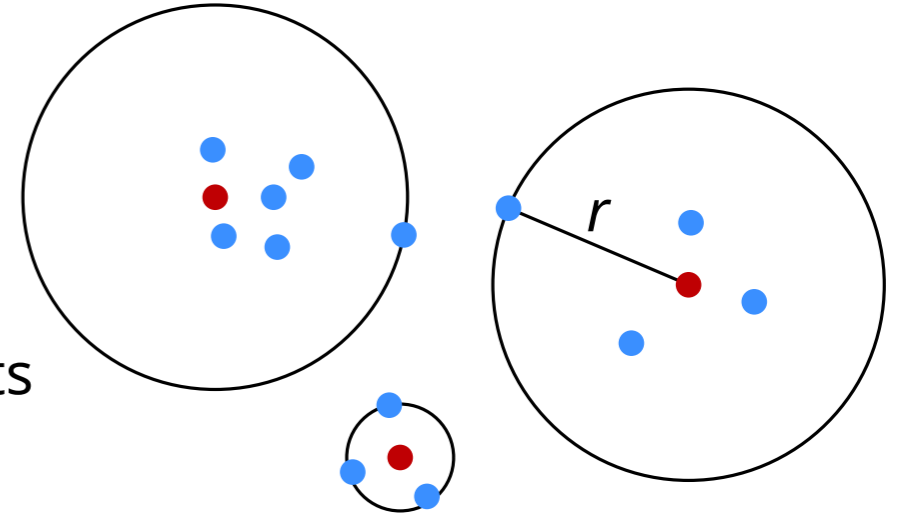


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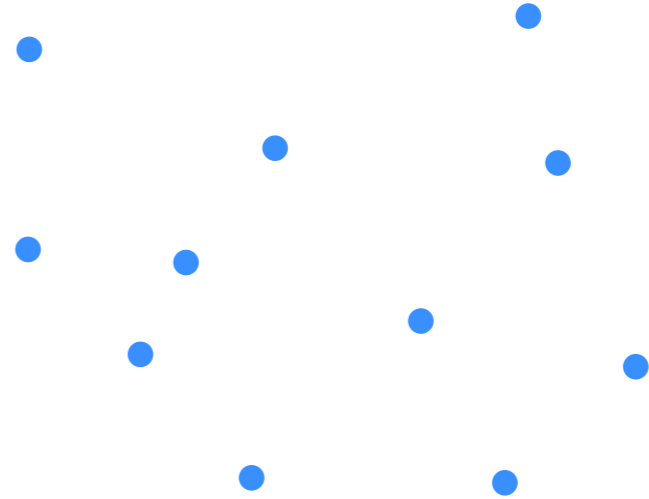
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# Closest Pair Problem

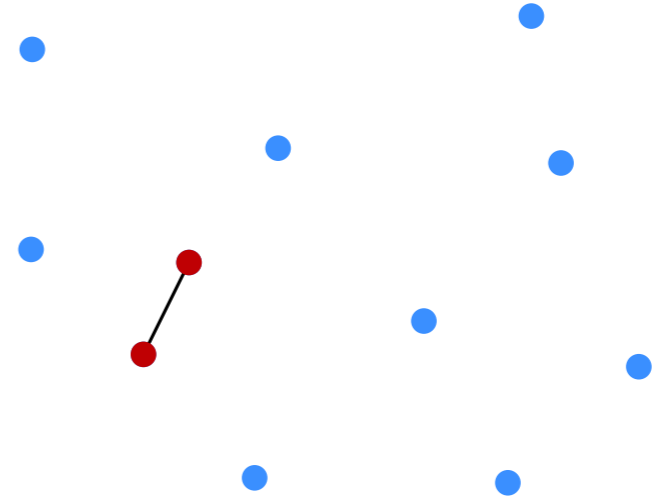
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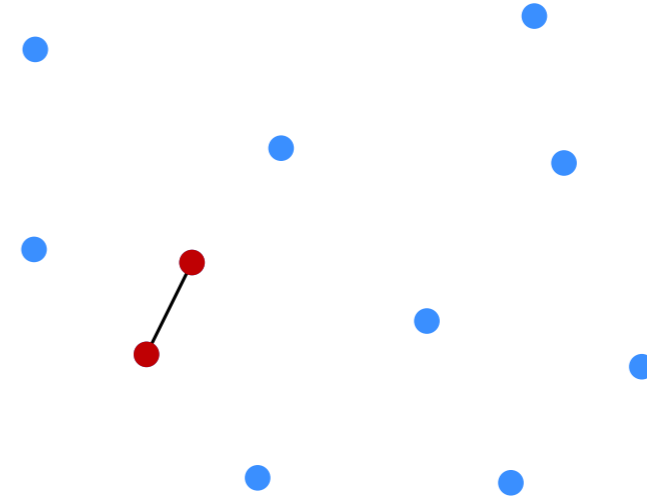
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## Motivation

- Fundamental problem in [Computational Geometry](#)
- [Applications](#) in Geographic Information Systems, e.g., find closest airplanes for air traffic control
- [Subroutine](#) in other algorithms, e.g., for clustering or matching
- Computing closest pair with grids instrumental for field of [randomized algorithms](#)



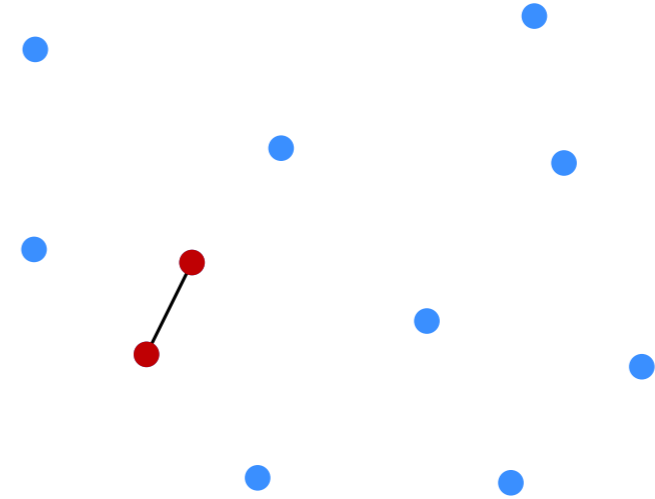
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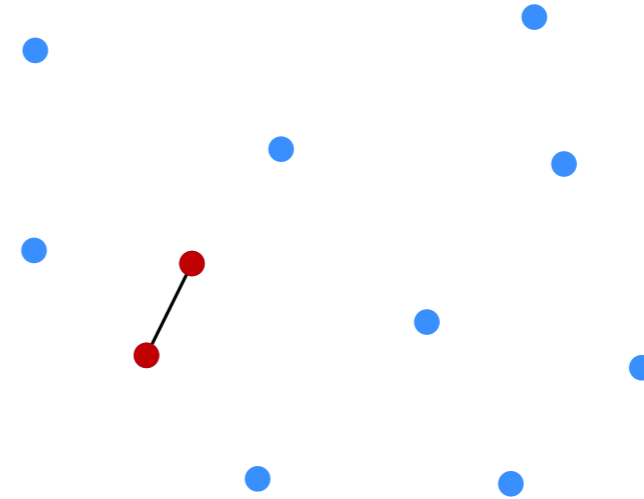
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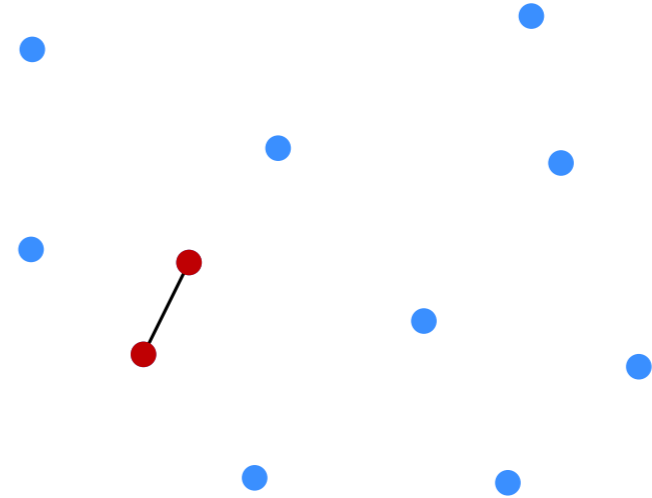
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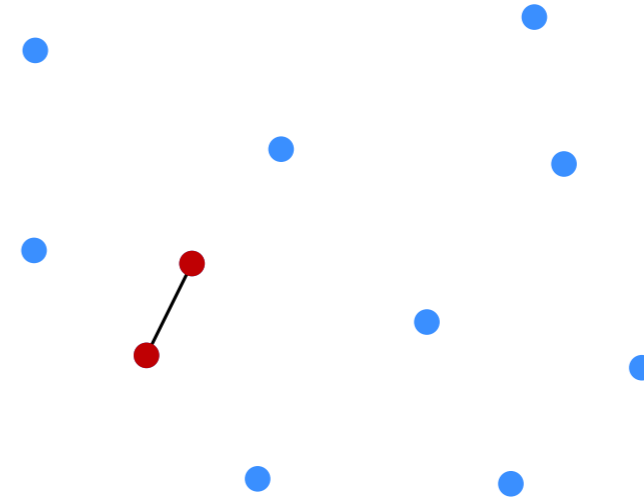
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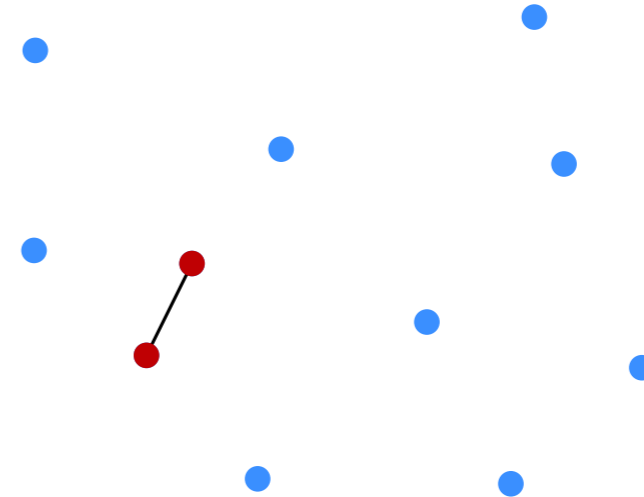
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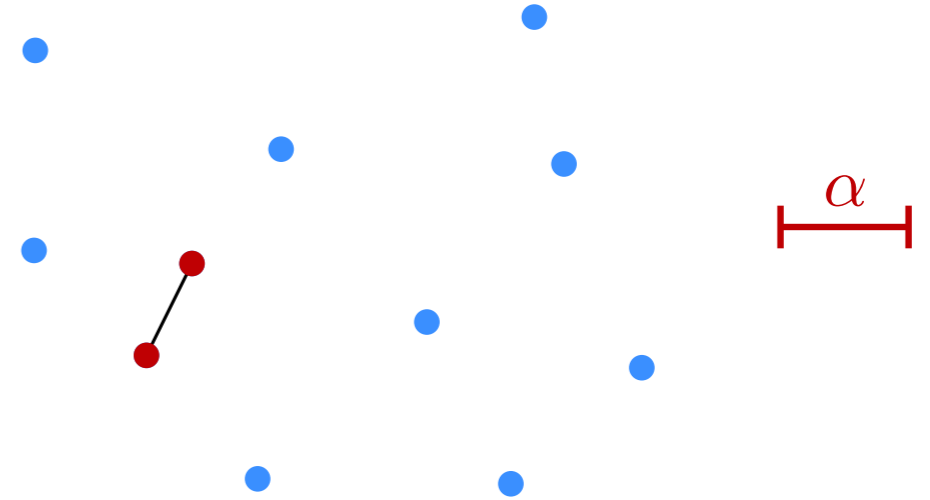
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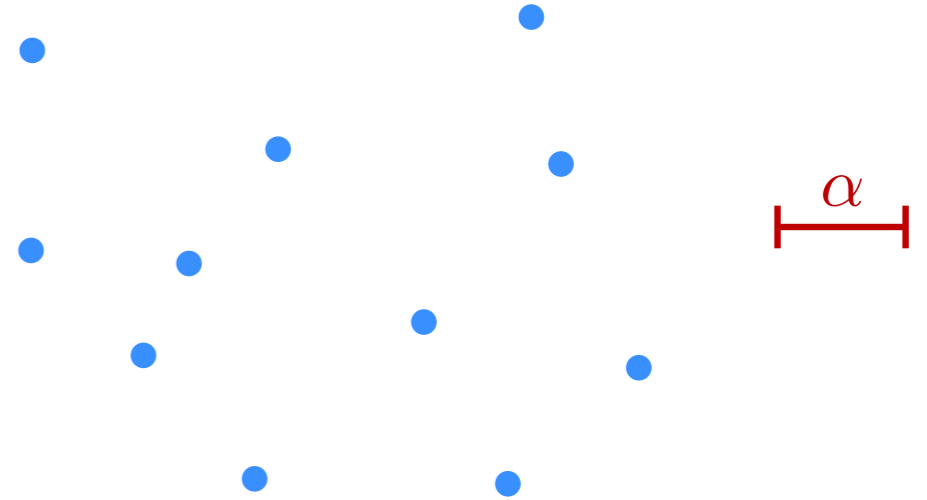
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**First step**  $O(n)$ -time decision algorithm:  $\exists p, q \in P: \|p - q\| < \alpha? \quad (p \neq q).$



# Closest Pair: Decision Problem (Algorithm)



$$\exists p, q \in P: \|p - q\| < \alpha?$$



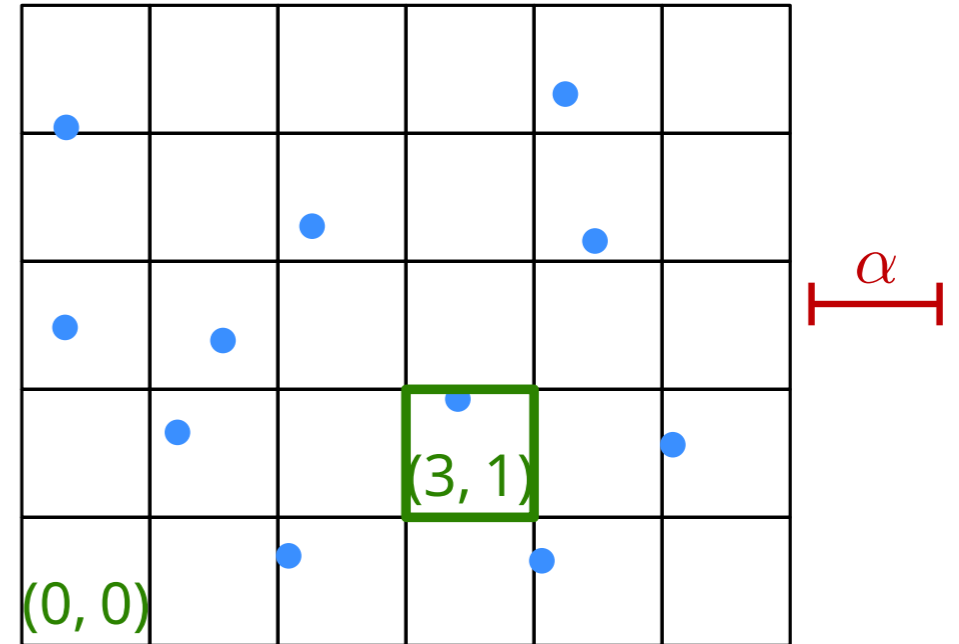


# Closest Pair: Decision Problem (Algorithm)

grid notation

$G_\alpha$  grid with side length  $\alpha$

cell with id  $(i, j)$  all points  $(x, y)$  with  $\alpha i \leq x < \alpha(i + 1)$  and  $\alpha j \leq y < \alpha(j + 1)$



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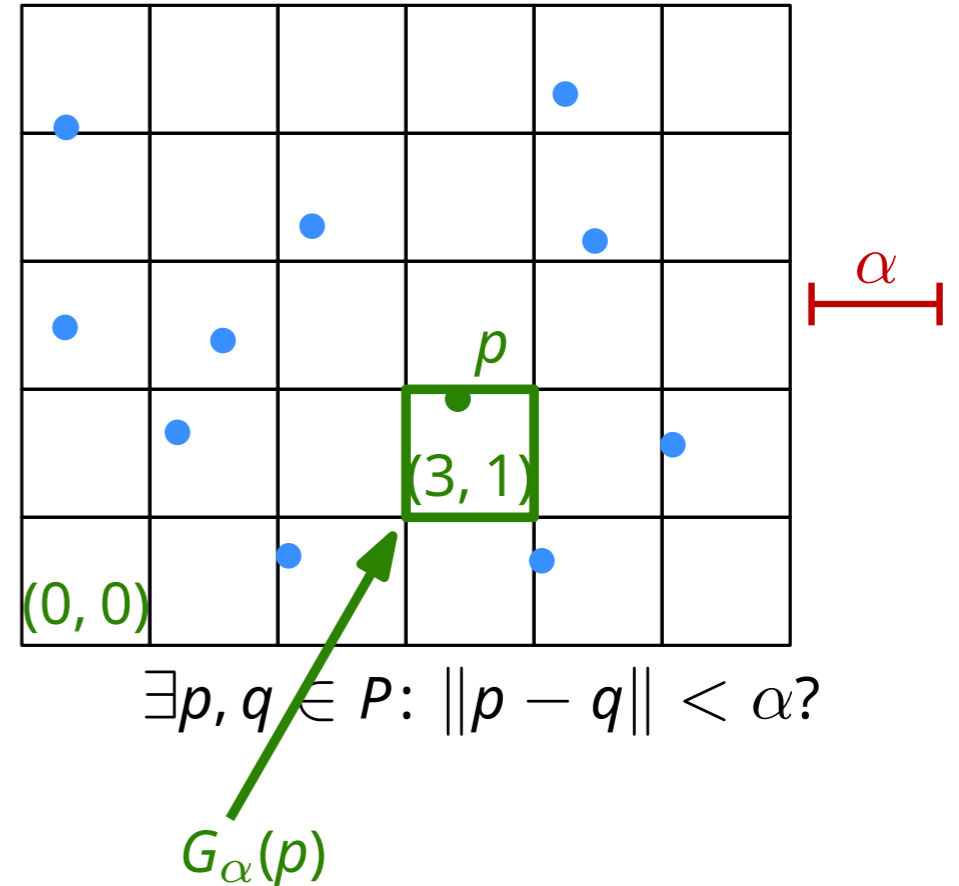
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for  $p = (x, y)$ :  $G_\alpha(p) = (\lfloor \frac{x}{\alpha} \rfloor \alpha, \lfloor \frac{y}{\alpha} \rfloor \alpha)$  lower left grid point of cell containing  $p$

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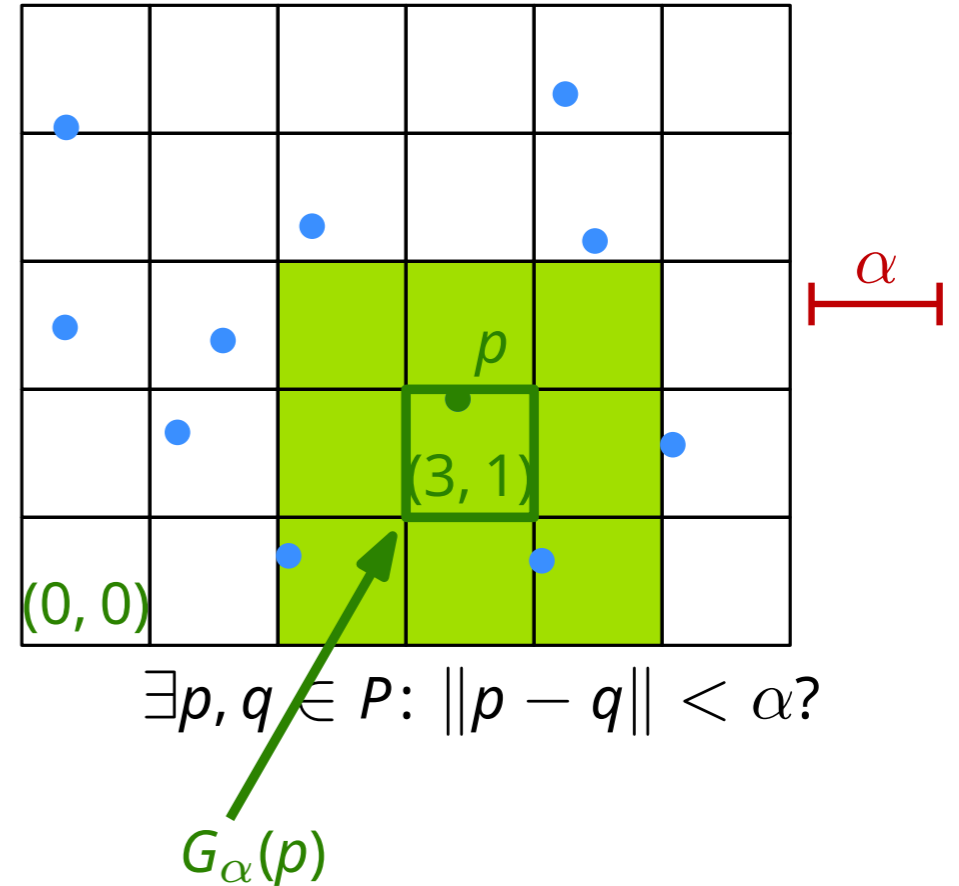
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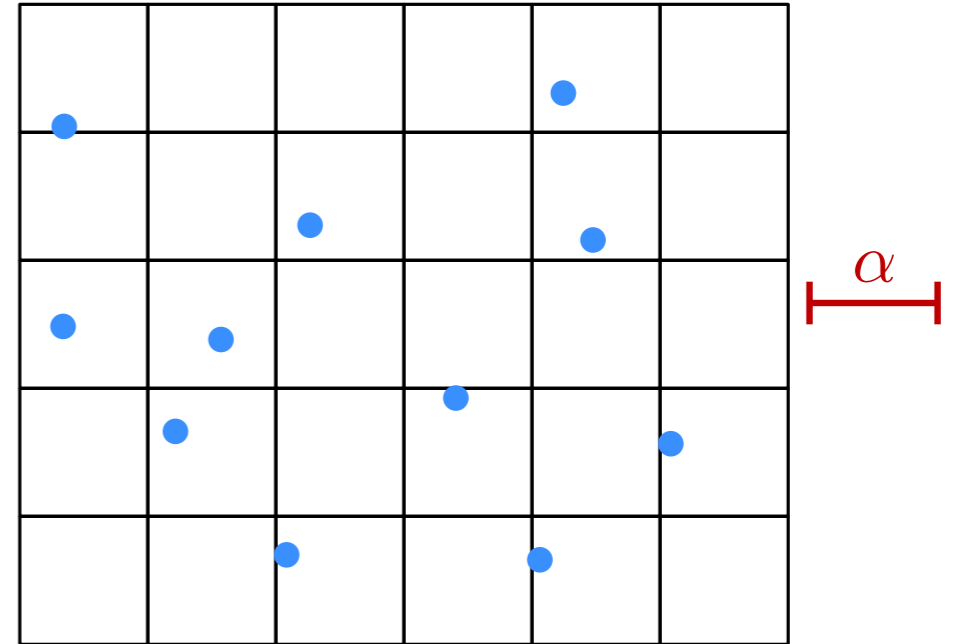
for  $p = (x, y)$ :  $id(p) = (\lfloor \frac{x}{\alpha} \rfloor, \lfloor \frac{y}{\alpha} \rfloor)$

grid cluster: block of  $3 \times 3$  cells



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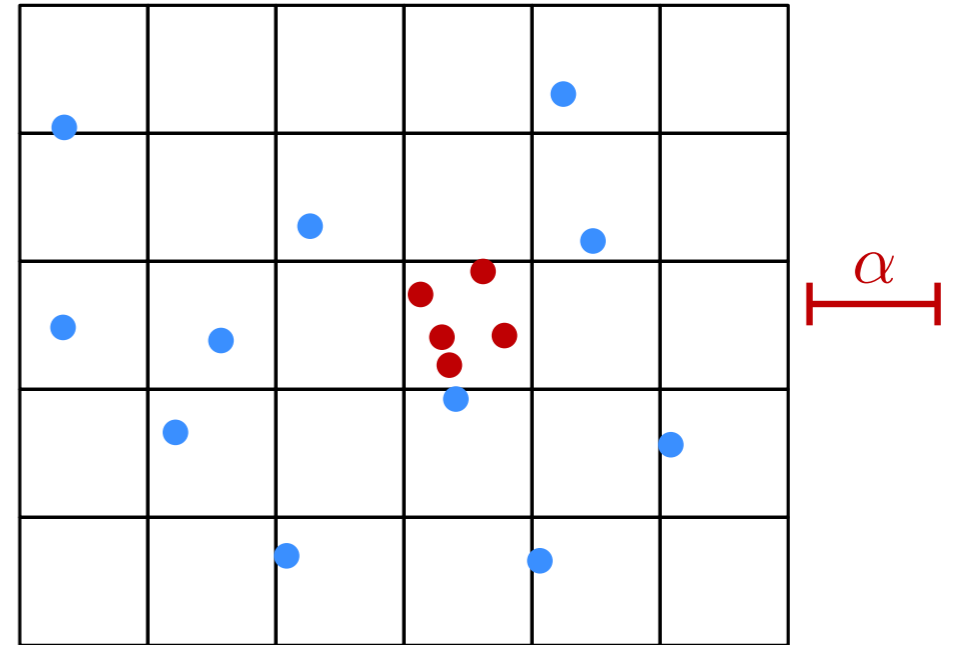


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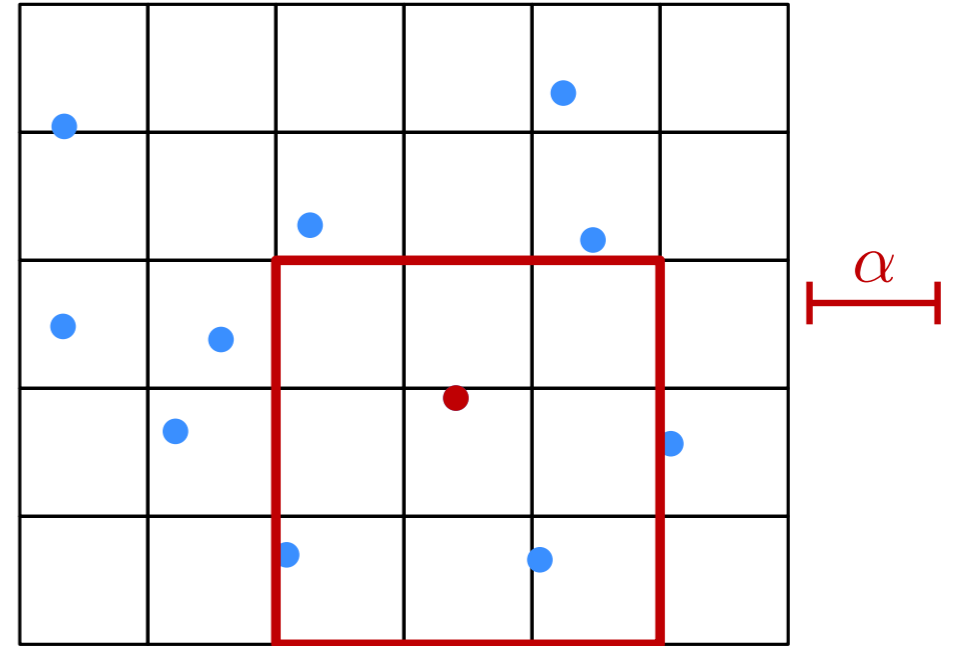


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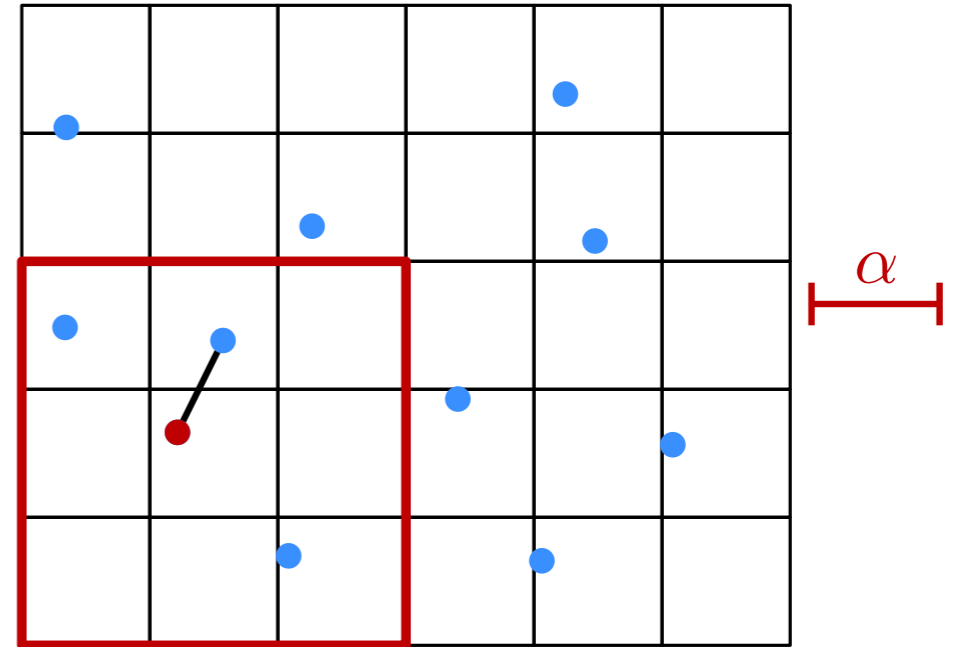


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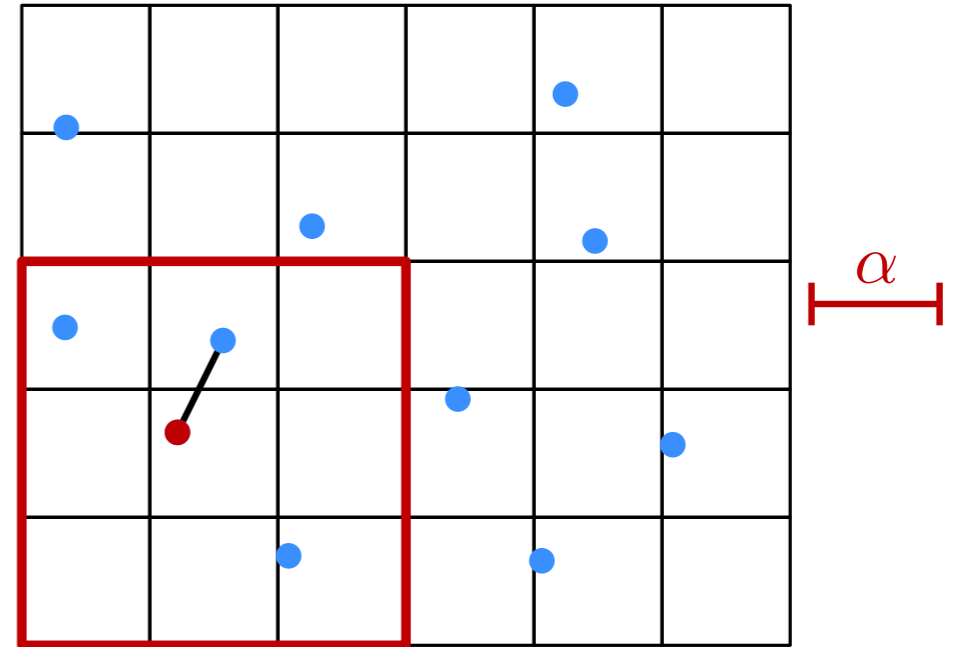


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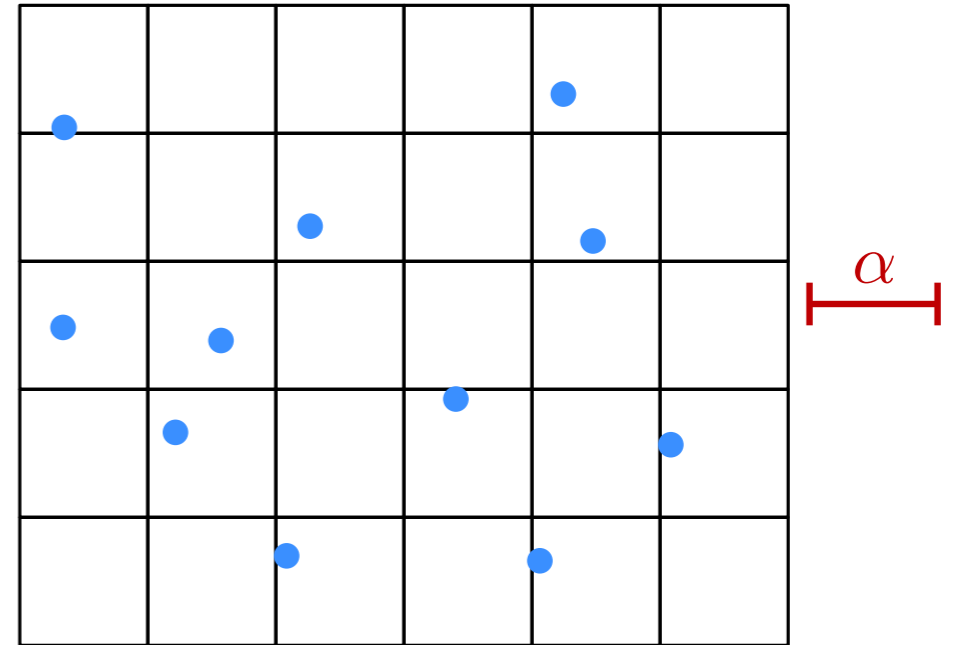
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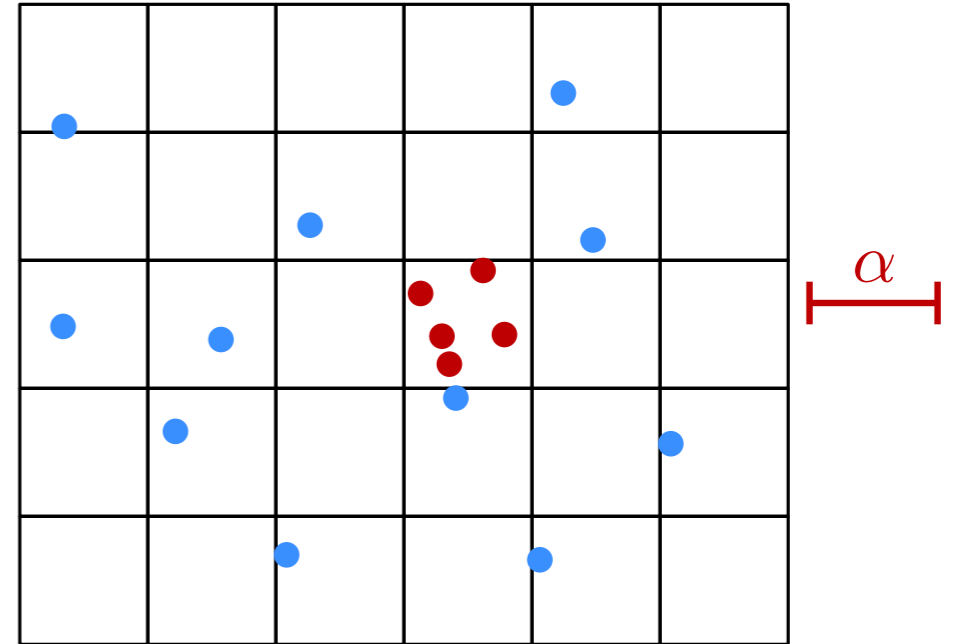
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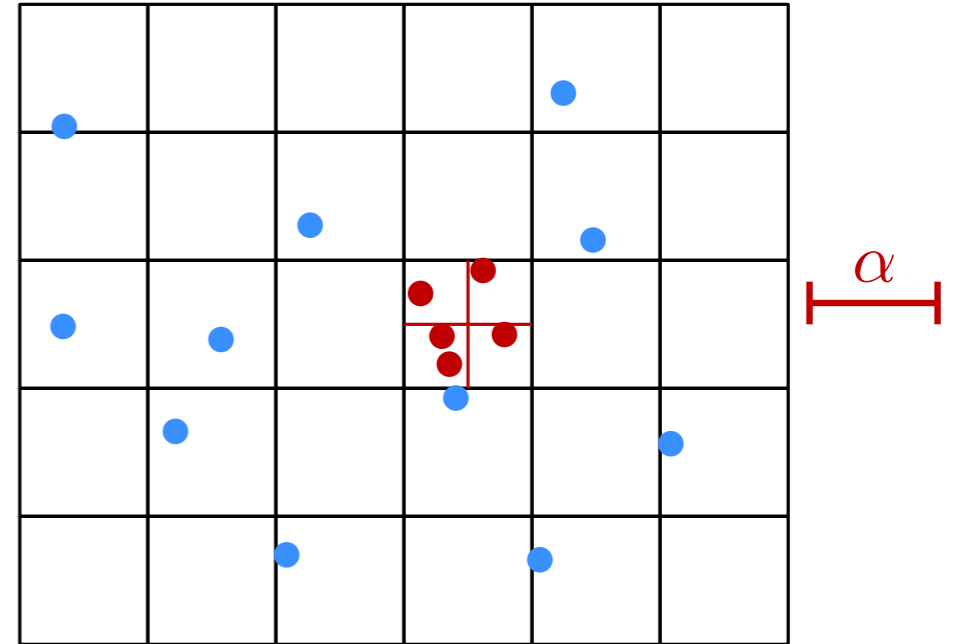
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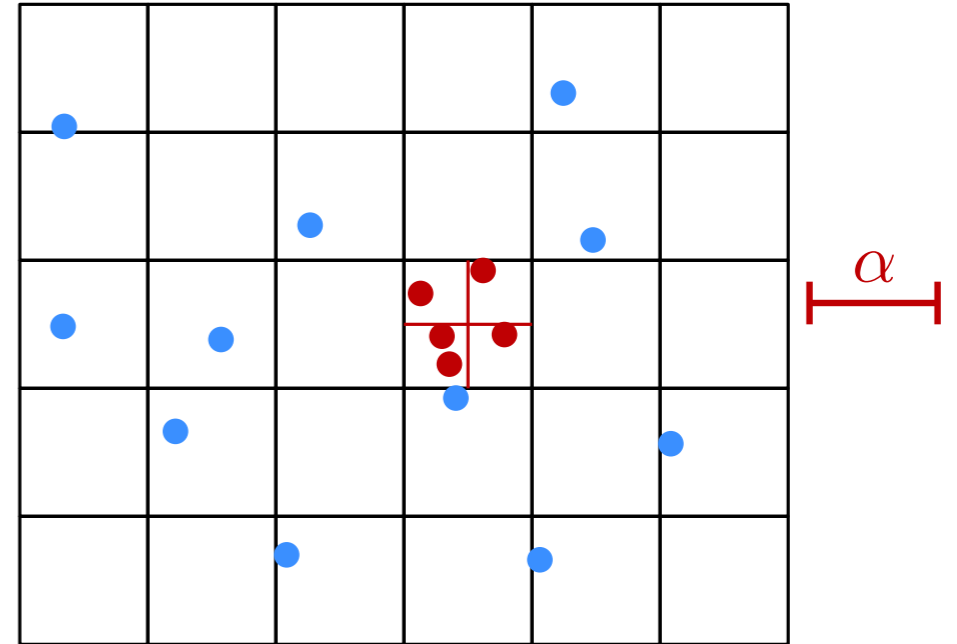
$$\Rightarrow \exists p, q \text{ in a subcell of sidelength } \frac{\alpha}{2}$$

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## Running time

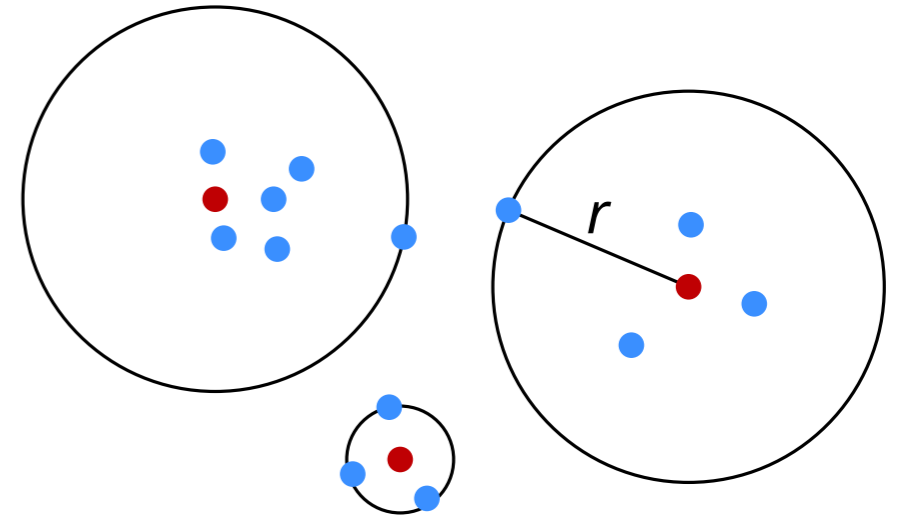
$$O(n) + O(n) + n \cdot O(9 \cdot 4) + O(1) = O(n)$$

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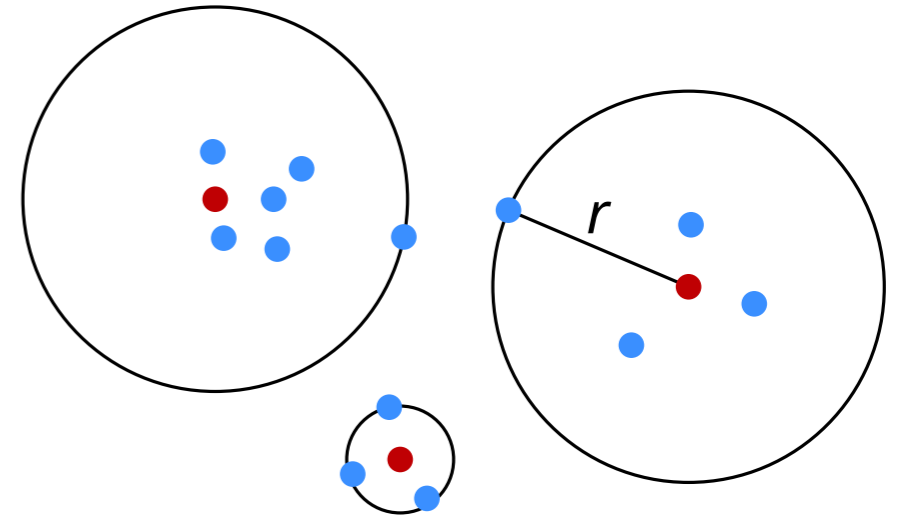
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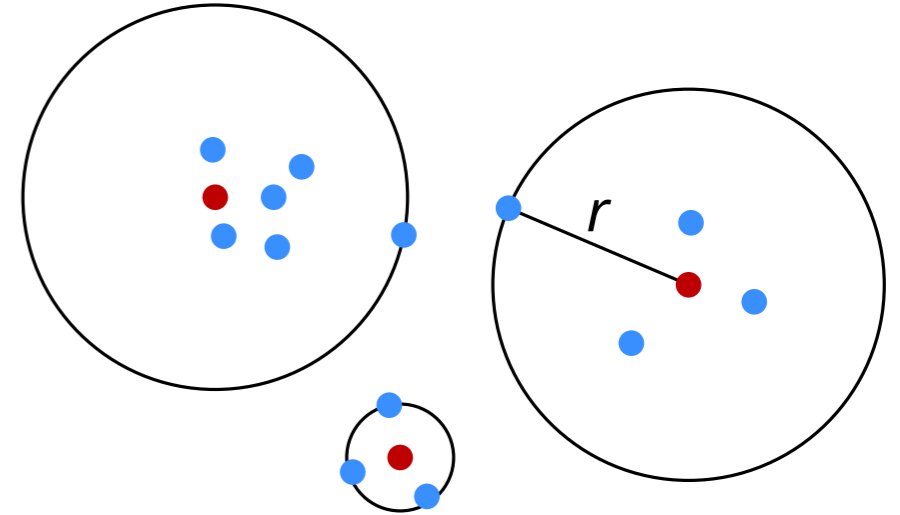
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A') Give an  $O(n + k)$  algorithm (for given  $\alpha' \geq 0$ ) that

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true	if $r \leq \alpha'$
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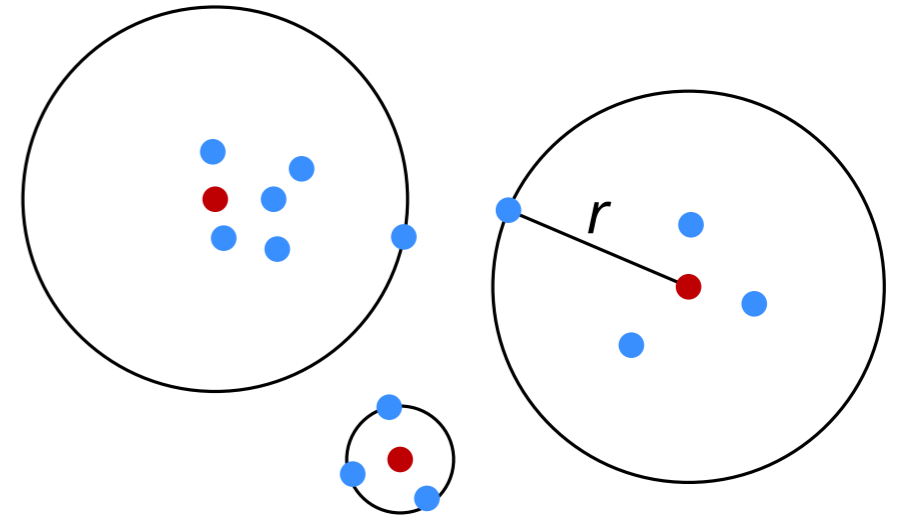
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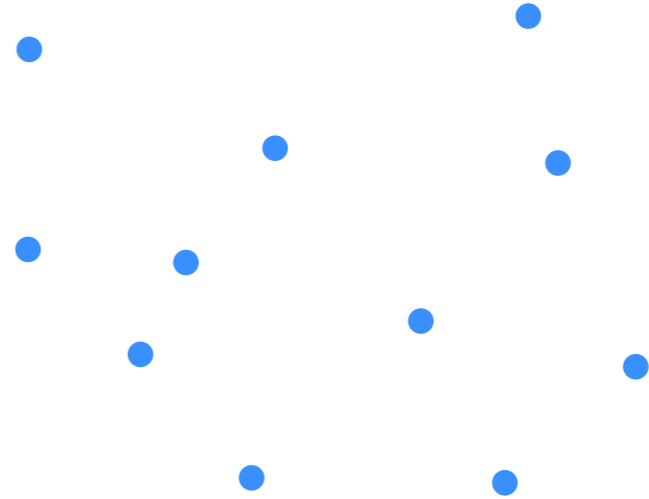
Needs to correctly decide  $r \leq \alpha'$ , except if  $r$  only slightly larger





# Closest Pair: Randomized Algorithm

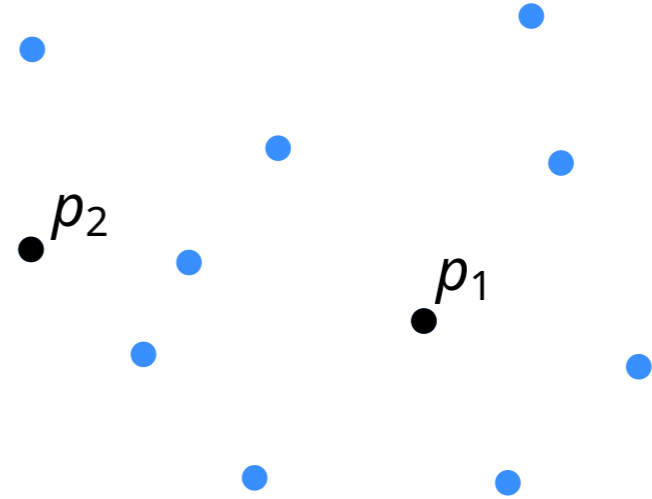
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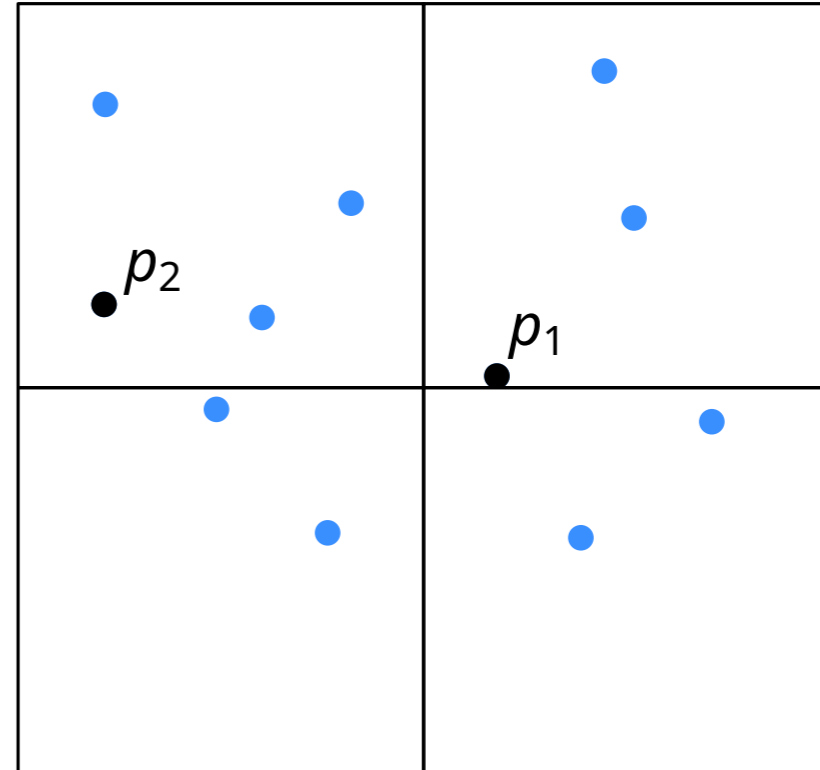
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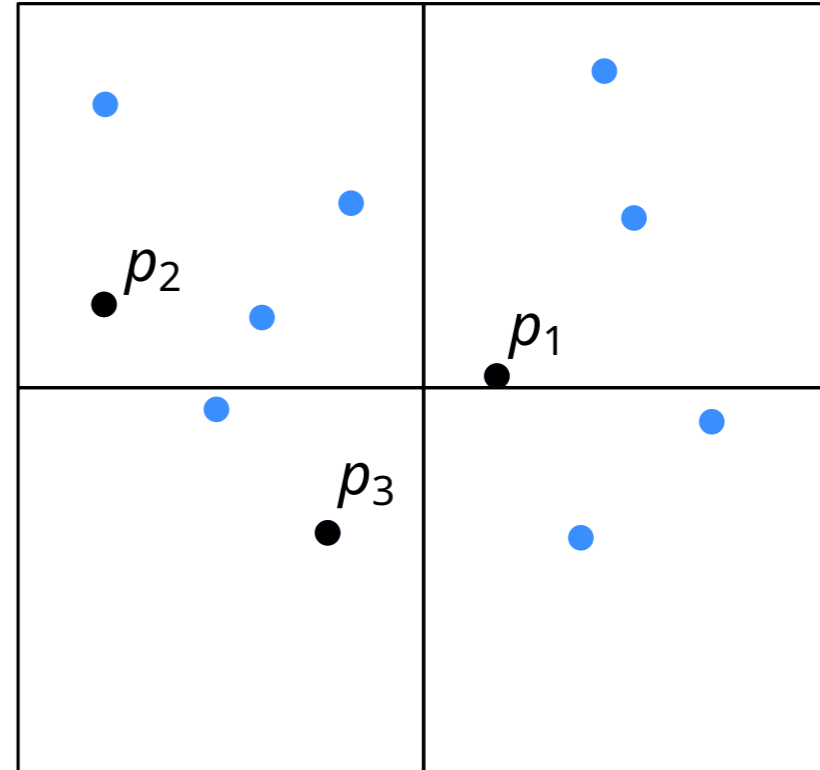
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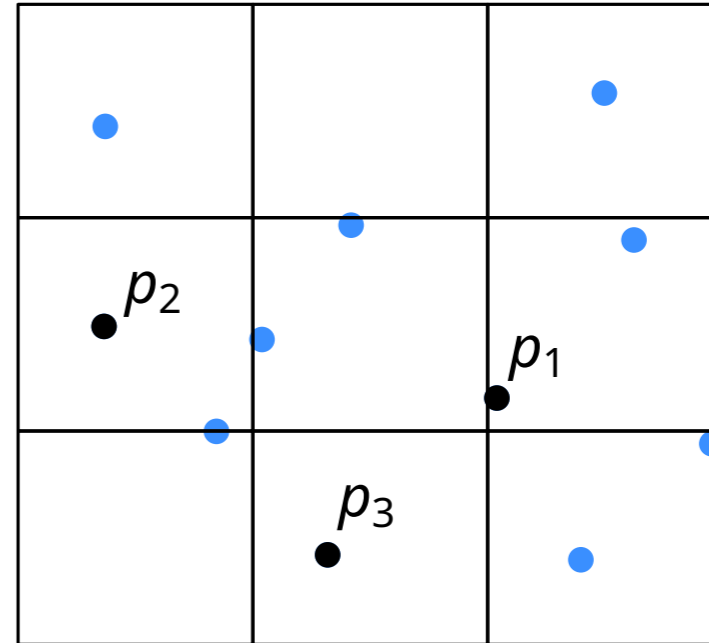
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3. Run decision algorithm incrementally:  
add  $p_i$  one-by-one  
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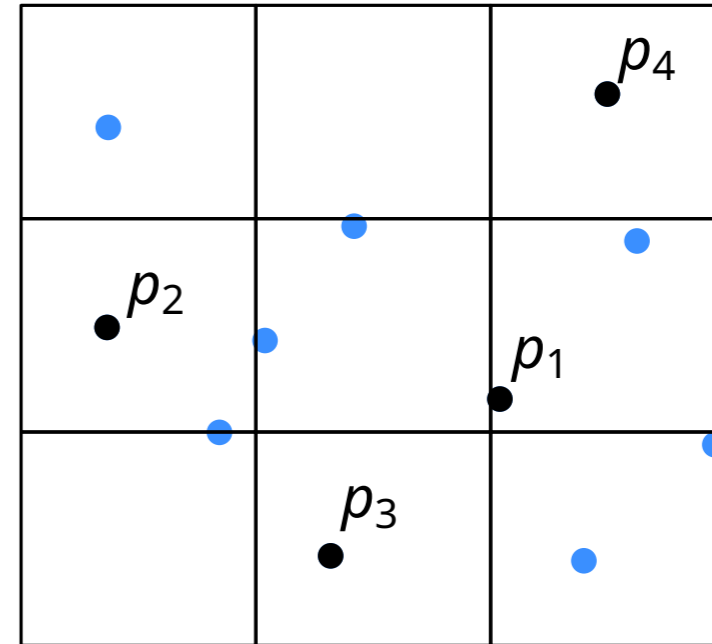
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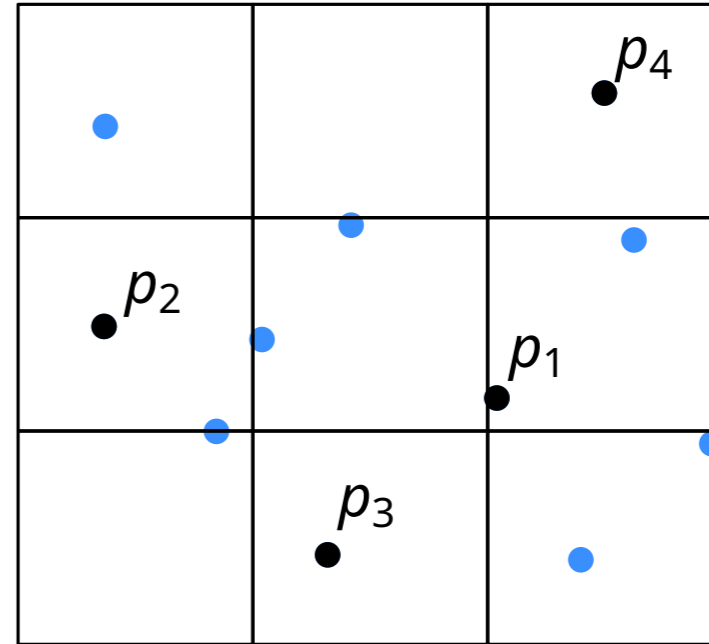
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# Closest Pair: Randomized Algorithm

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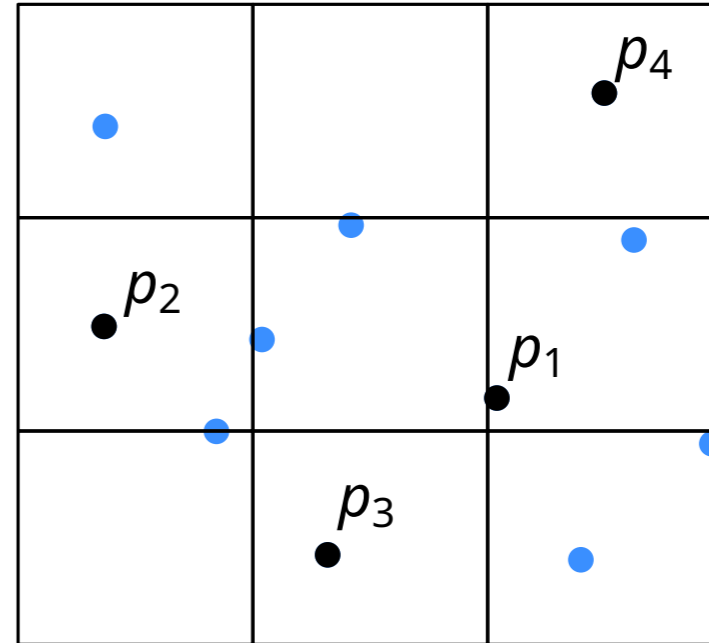
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## Correctness

Follows from correctness of decision algorithm

## Running time

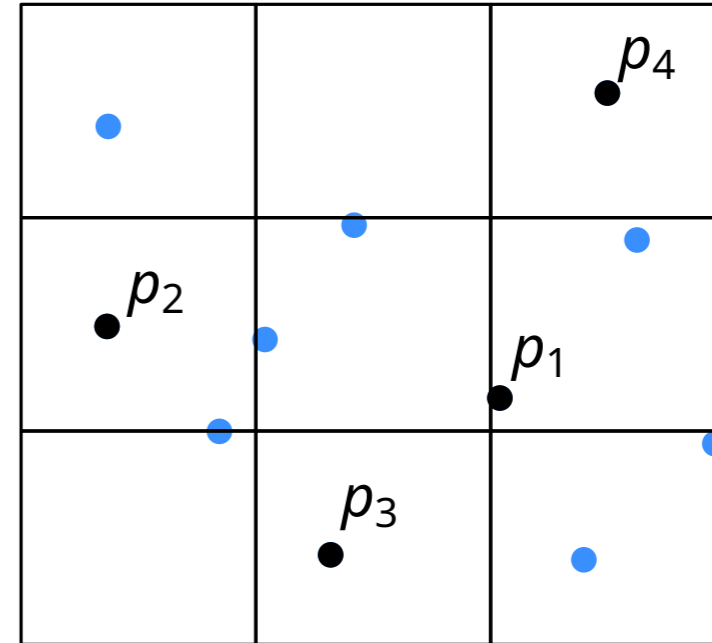
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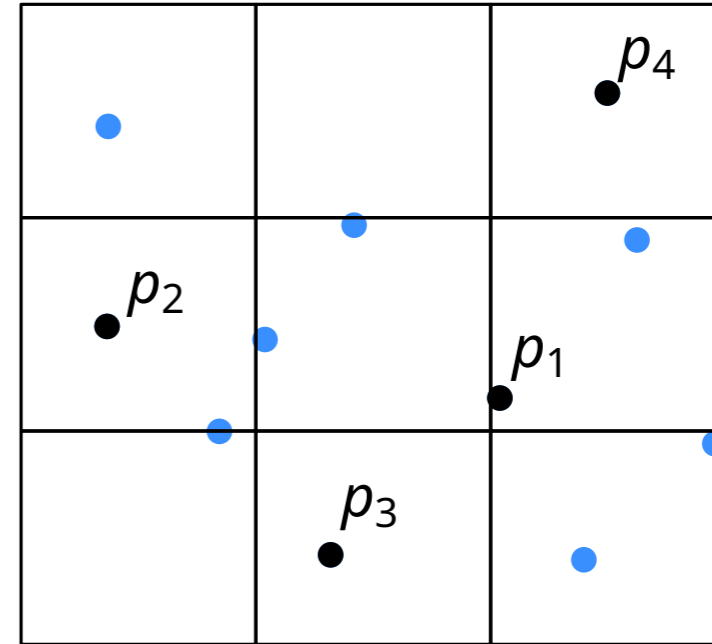
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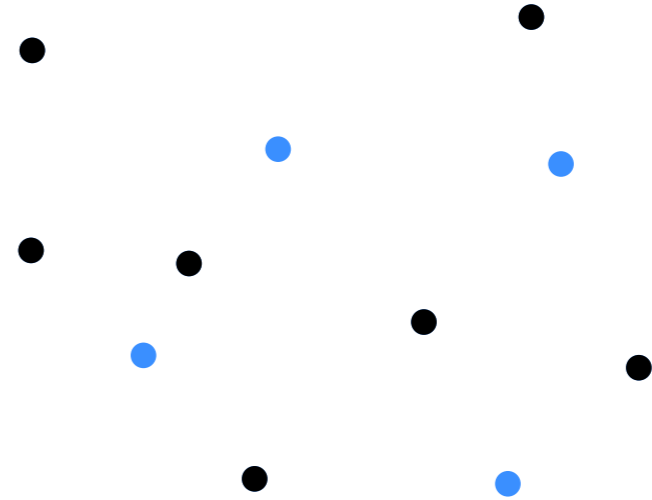
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expected case?

# Closest Pair: Expected case

Backwards analysis



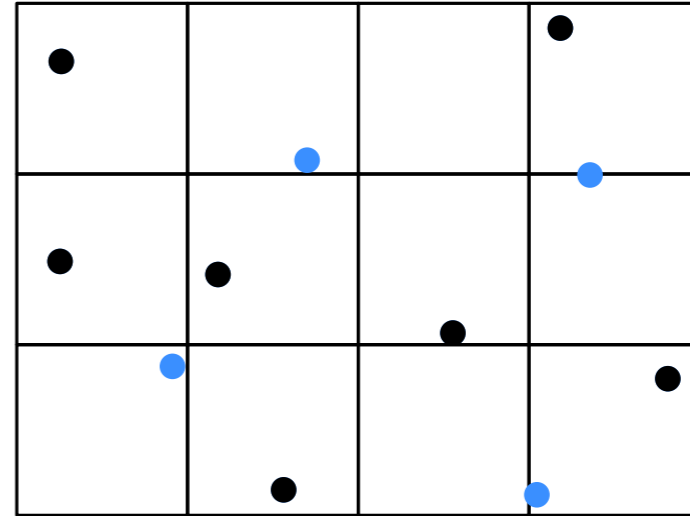


# Closest Pair: Expected case

## Backwards analysis

To analyze insertion of  $p_i$ : consider grid **after** inserting  $p_i$ .

Which points would have caused the grid to change?  
→ probability

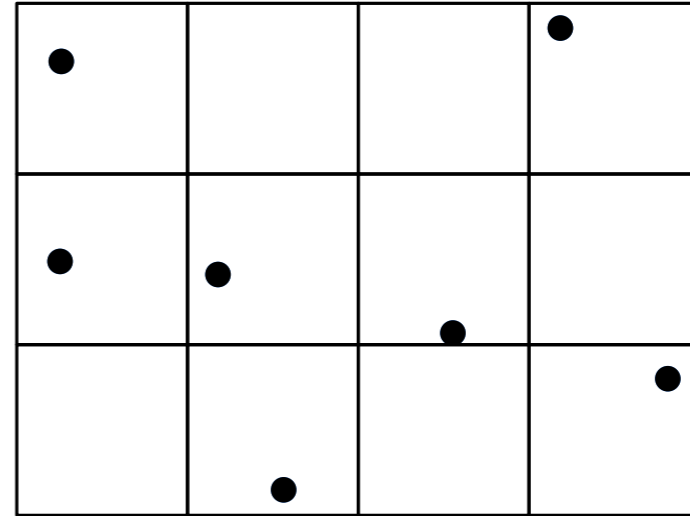


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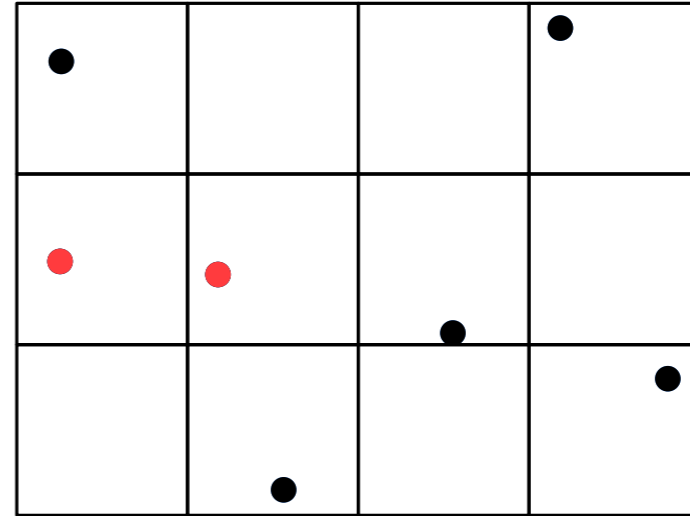


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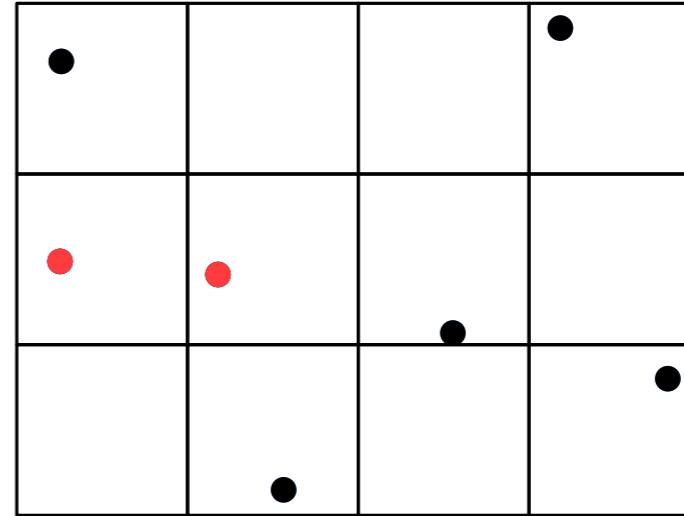
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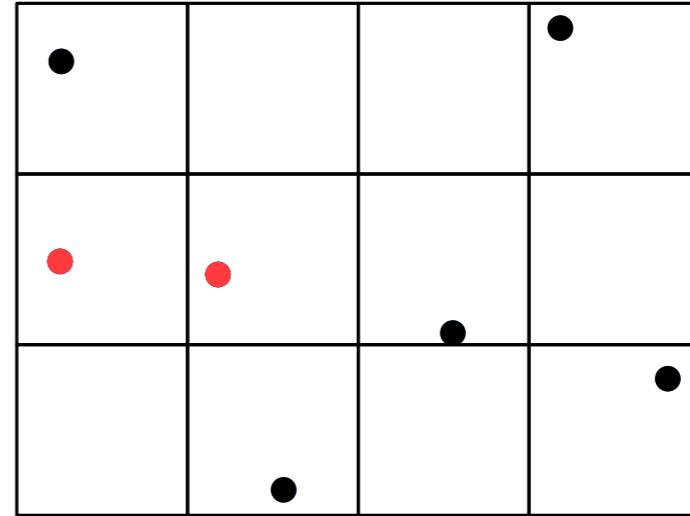
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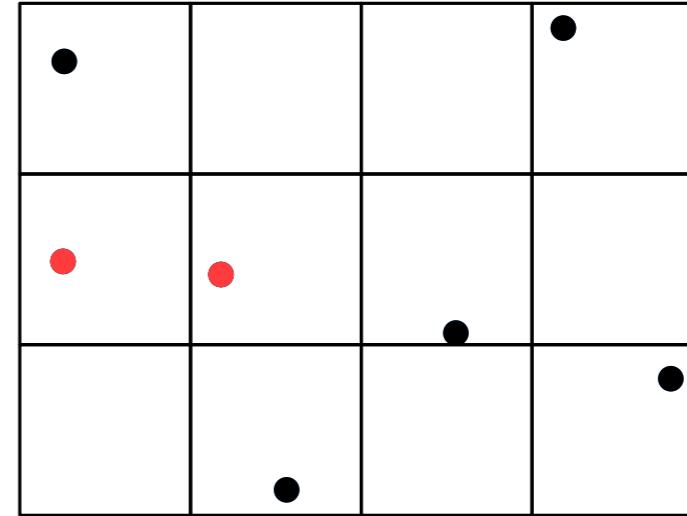
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$$E[\text{Time to insert } p_i] = O(i) \cdot 2/i$$

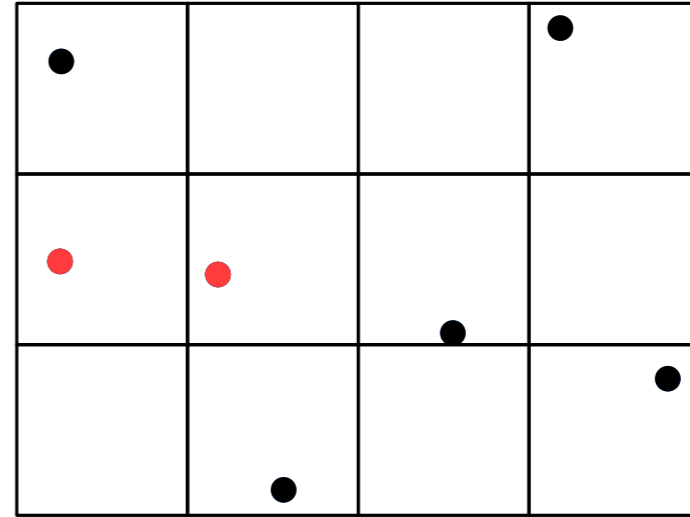
↑            ↑  
time    probability  
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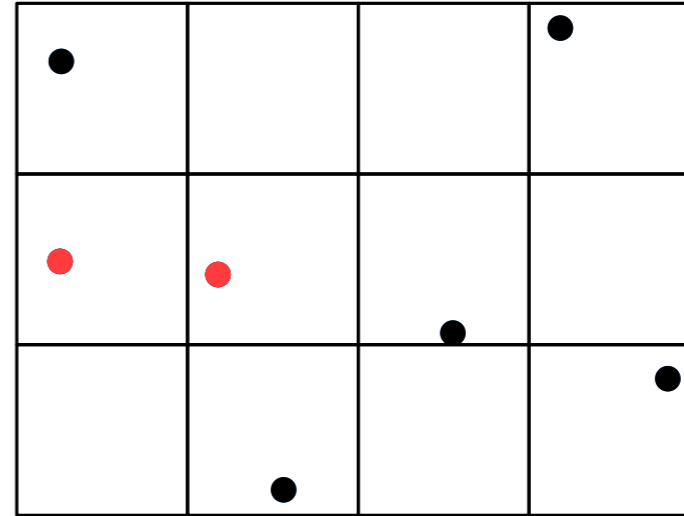
$$E[\text{Time to insert } p_i] = \underbrace{O(i)}_{\substack{\uparrow \\ \text{time} \\ \text{rebuilding the grid}}} \cdot \underbrace{2/i}_{\substack{\uparrow \\ |\mathcal{V}| \\ \text{probability}}} + \underbrace{O(1)}_{\substack{\uparrow \\ \text{otherwise}}} \cdot 1$$

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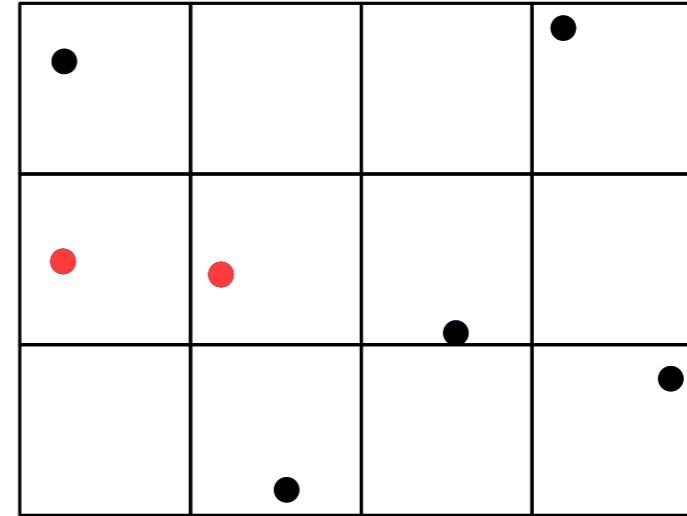
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$$E[\text{Overall running time}] = n \cdot O(1) = O(n)$$

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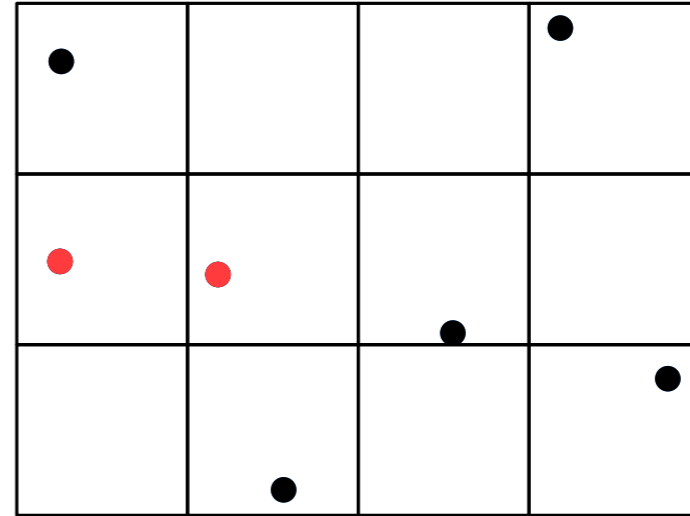
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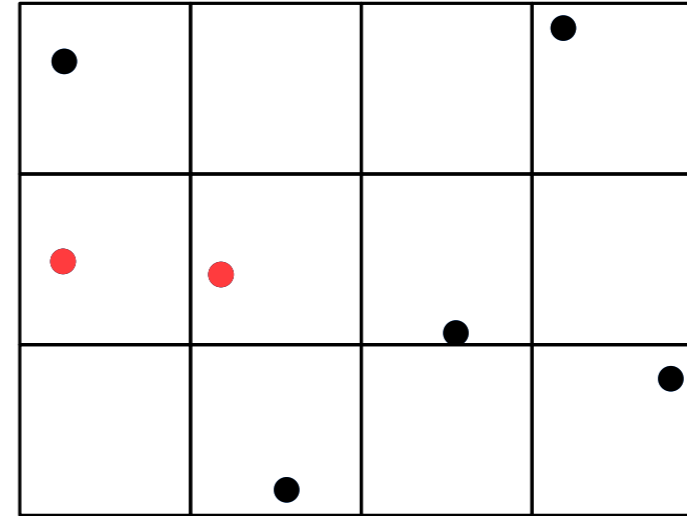


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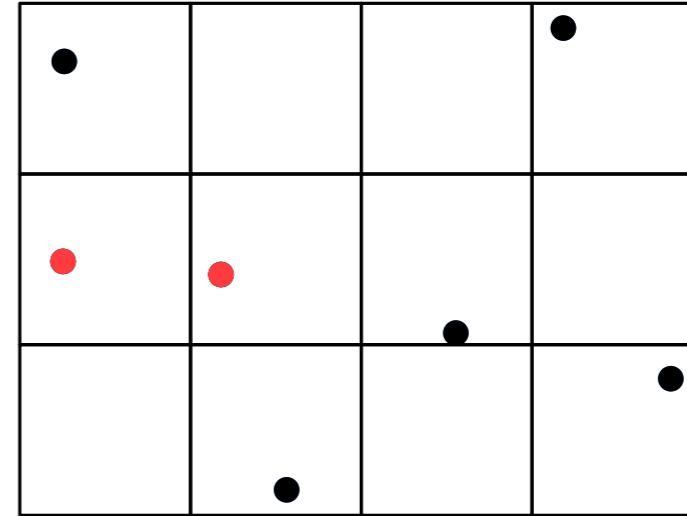
$$\begin{aligned} E[R] &= E\left[1 + \sum_{i=3}^n (1 + i \cdot X_i)\right] \leq n + \sum_{i=3}^n i \cdot E[X_i] = n + \sum_{i=3}^n i \cdot \Pr[X_i = 1] \\ &\leq n + \sum_{i=3}^n i \cdot 2/i \leq 3n \end{aligned}$$

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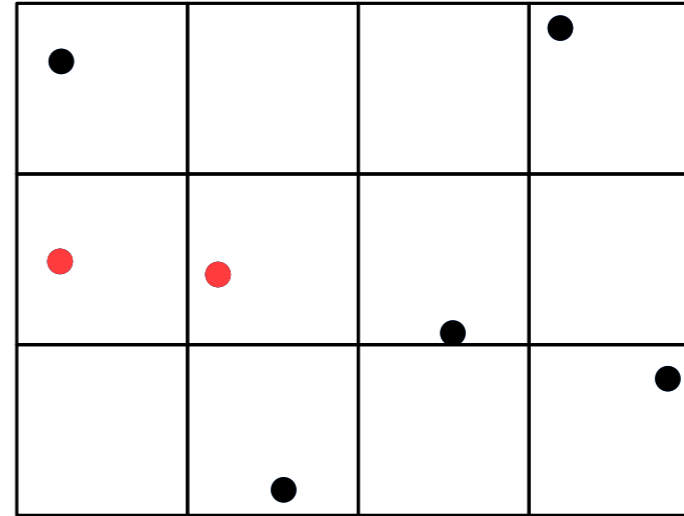
Using grids we can solve the closest pair problem in expected linear time.



# Quiz

How often do we need to rebuild the grid in expectation?

- A  $O(1)$
- B  $O(\log n)$
- C  $O(n)$



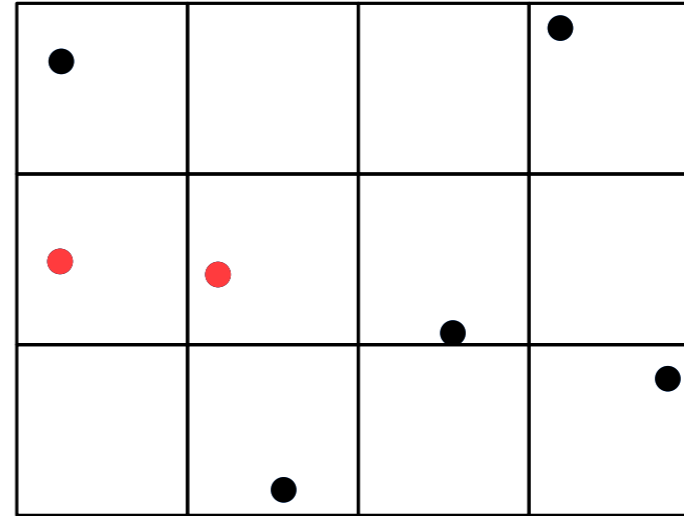
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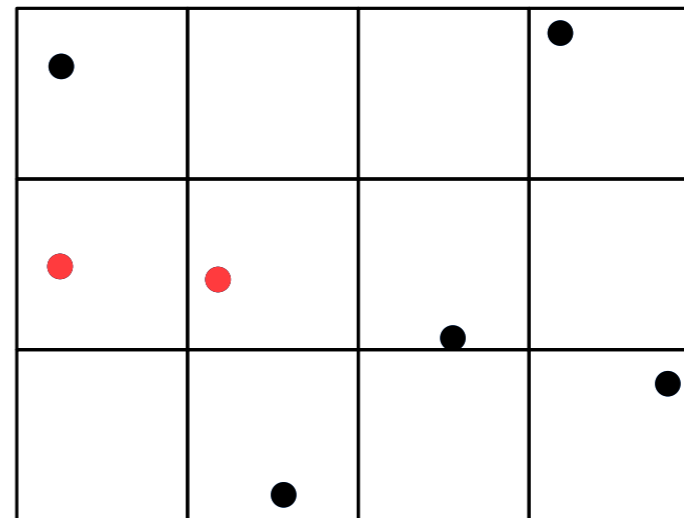
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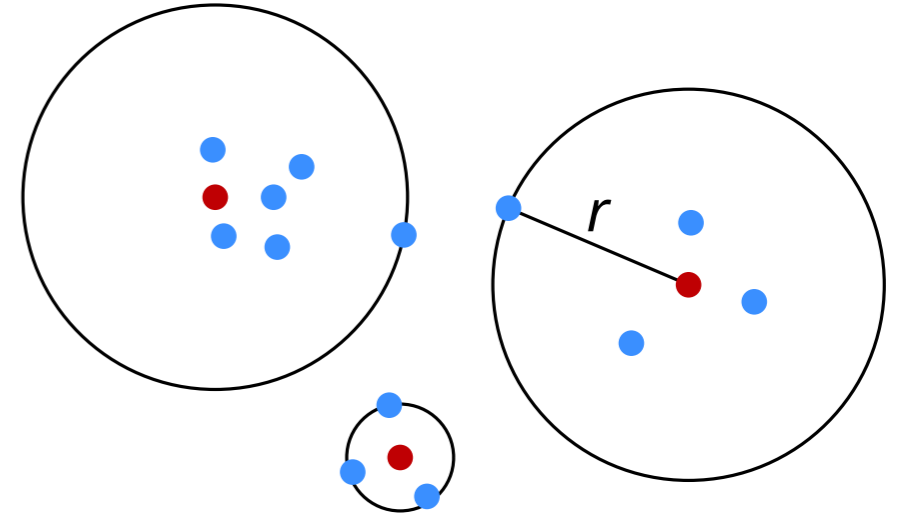
$$E \left[ \sum_{i=3}^n X_i \right] = \sum_{i=3}^n E[X_i] \leq \sum_{i=3}^n 2/i \leq \int_3^{n+1} 1/x dx = O(\log n)$$

# Exercise 1.2 (A): Decision Problem

Compute clustering radius (Exercise 1.2 from book)

Let  $C$  and  $P$  be two given sets of points in the plane, such that  $k = |C|$  and  $n = |P|$ . Let  $r = \max_{p \in P} \min_{c \in C} \|c - p\|$  be the covering radius of  $P$  by  $C$ .

- (A) Give an  $O(n + k \log n)$  expected time algorithm that outputs a number  $\alpha$ , such that  $r \leq \alpha \leq 10r$ .



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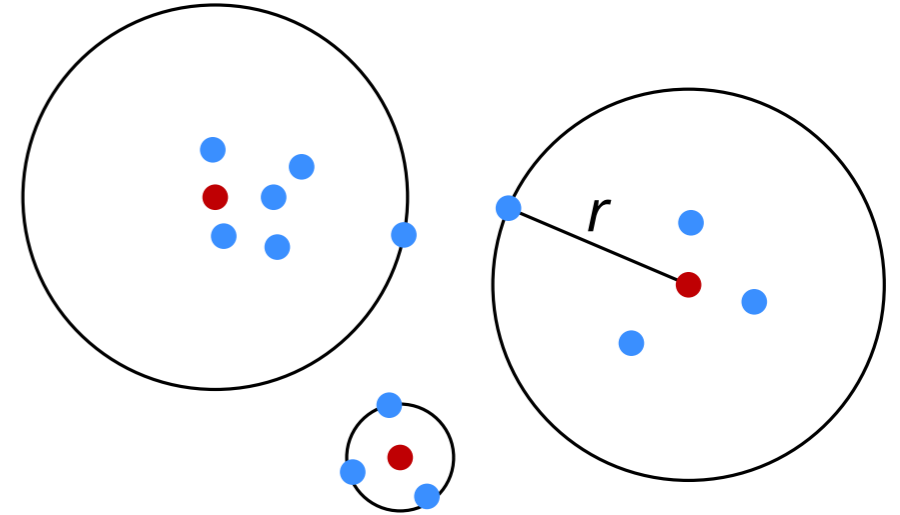
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We already know how to:

A') Give an  $O(n + k)$  algorithm (for given  $\alpha' \geq 0$ ) that

outputs 
$$\begin{cases} \text{true} & \text{if } r \leq \alpha' \\ \text{false} & \text{if } r > 2\sqrt{2}\alpha' \\ \text{true or false} & \text{if } \alpha' < r \leq 2\sqrt{2}\alpha' \end{cases}$$



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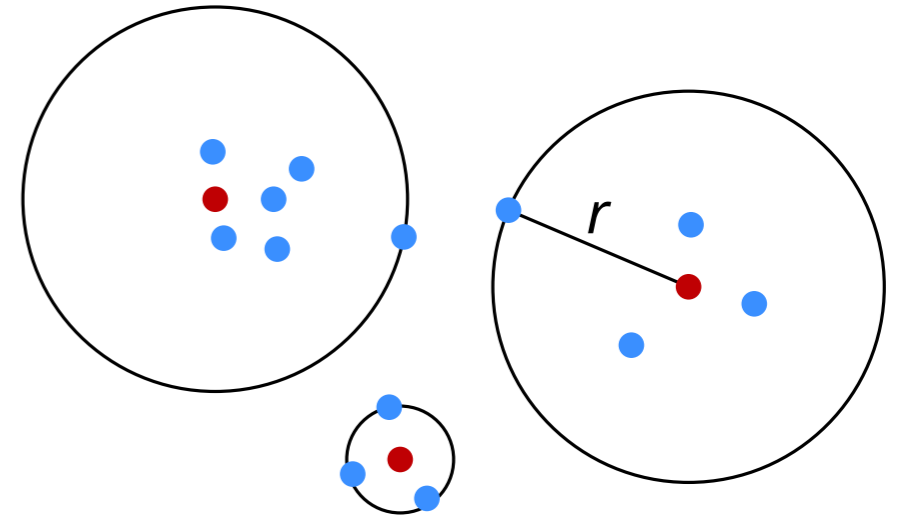
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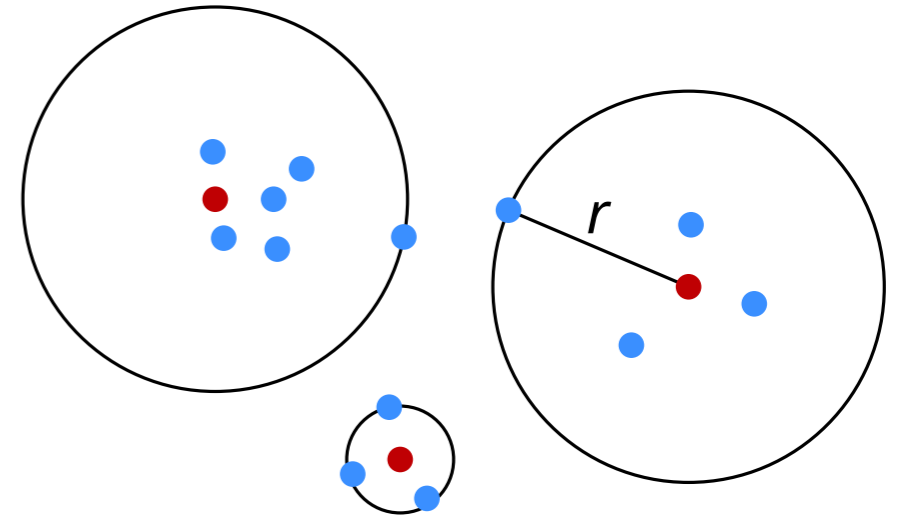
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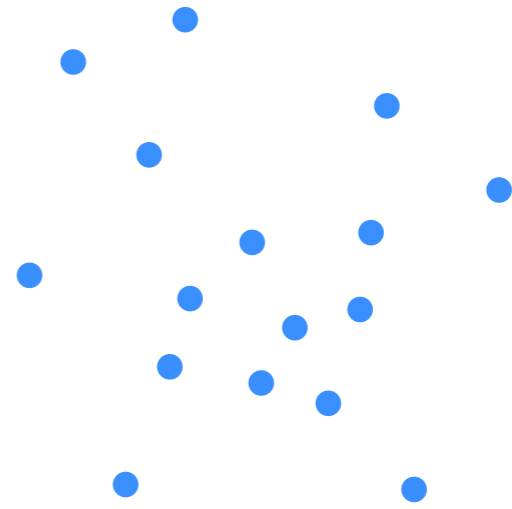
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**Note:** Careful in backward analysis (e.g. use canonical grids, i.e., change size by powers of 2)



# $k$ -enclosing Disk Problem

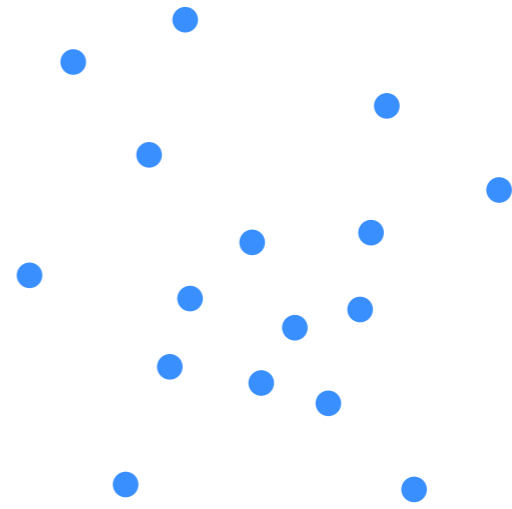


$k=8$



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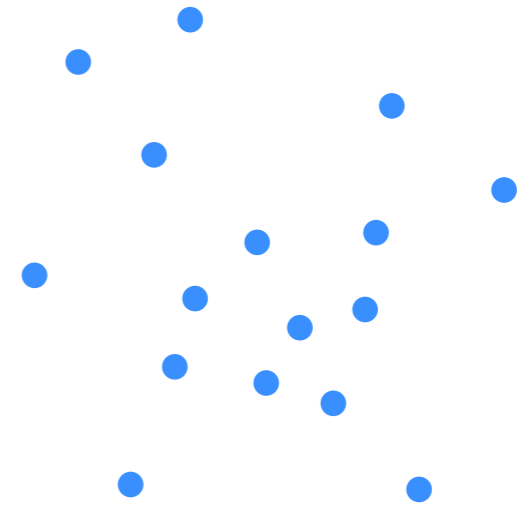


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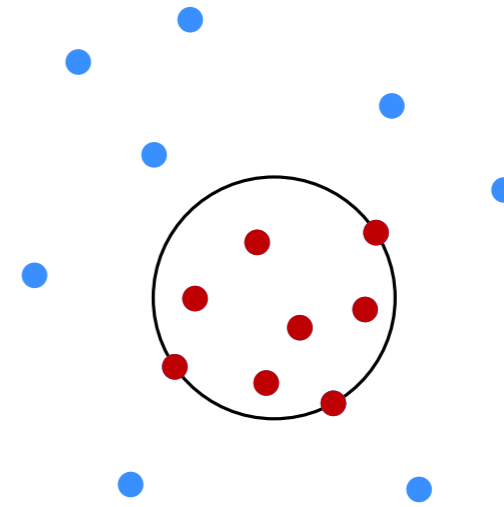
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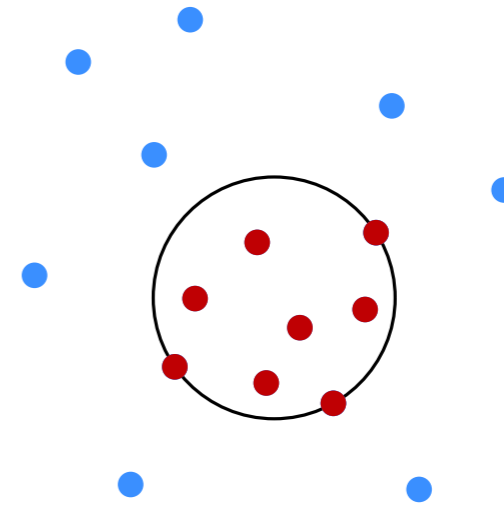
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**Question:** If we generalize the closest pair algorithm, which issues arise?



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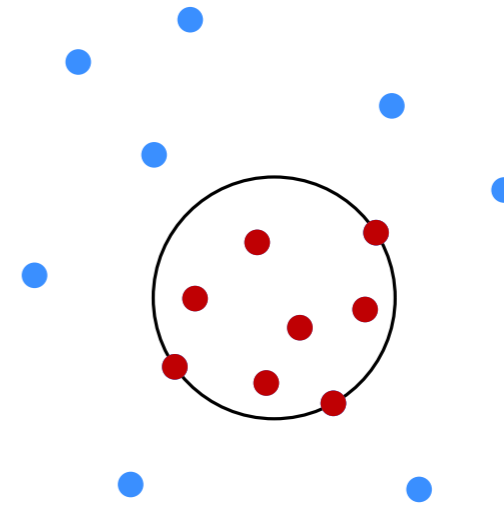
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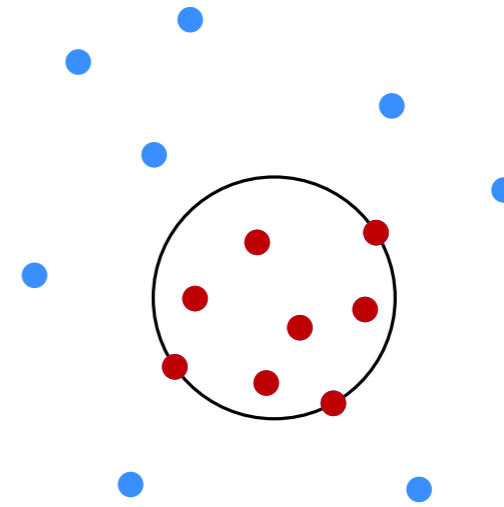
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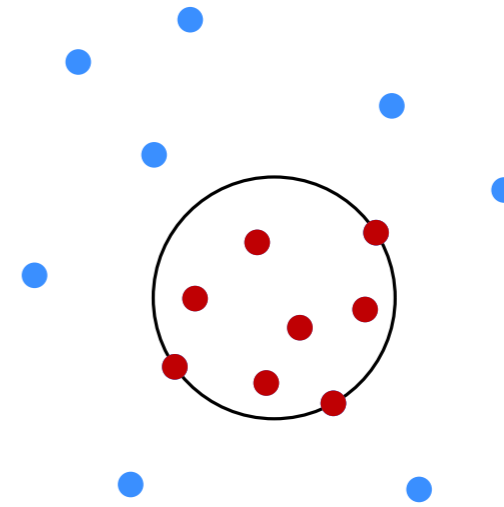


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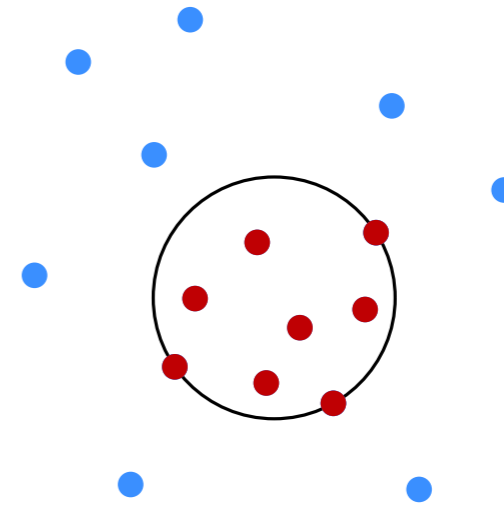
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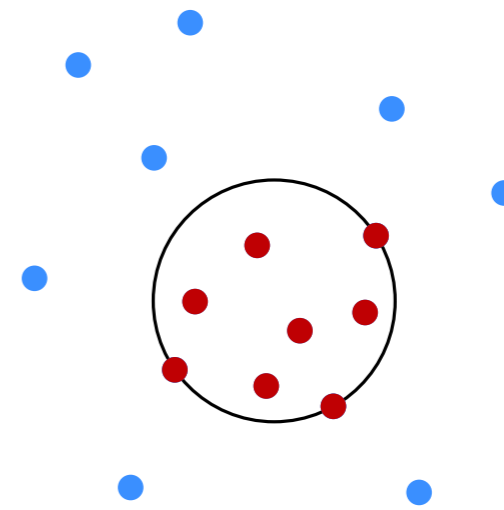


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**next:**  $O(n(n/k)^2)$ -time 2-approximation; can be used to get  $O(n)$ -time 2-approximation

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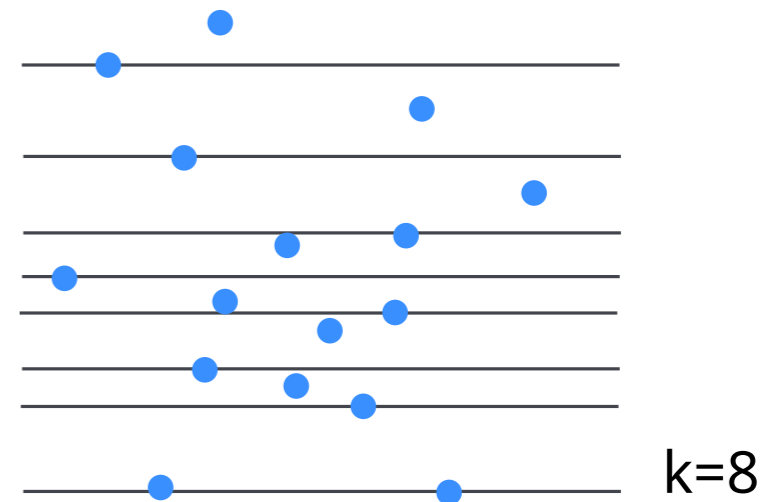
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**Output:** smallest  $k$ -enclosing disk, i.e.,  $|B_\varepsilon \cap P| \geq k$

**Observe:** For a set  $P$  of  $n$  points,  $q \in \mathbb{R}^2$  and  $k \in \mathbb{N}$ , the  $k$  closest points to  $q$  can be found in  $O(n)$  time.

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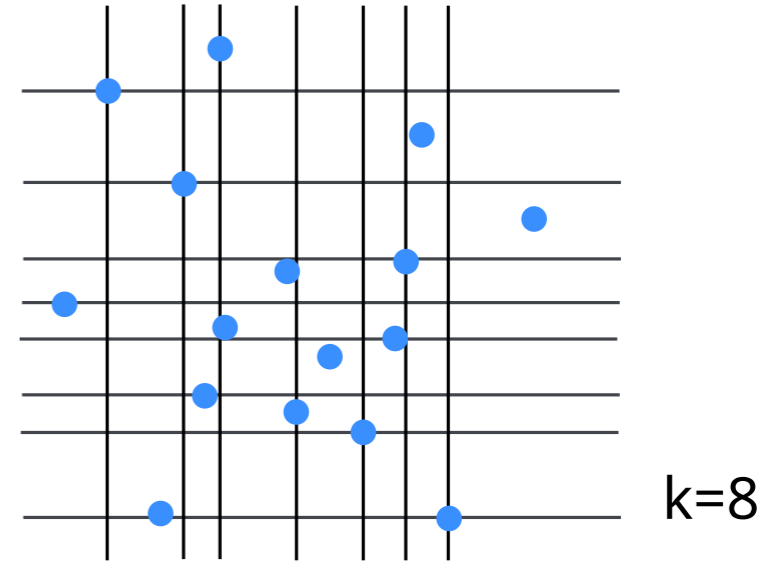
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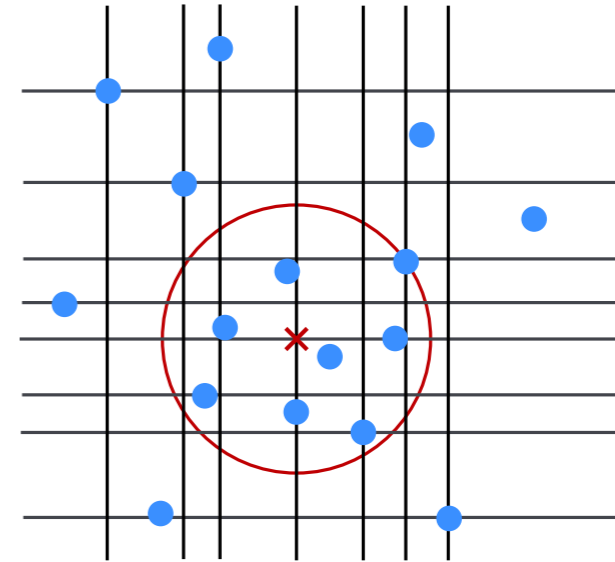
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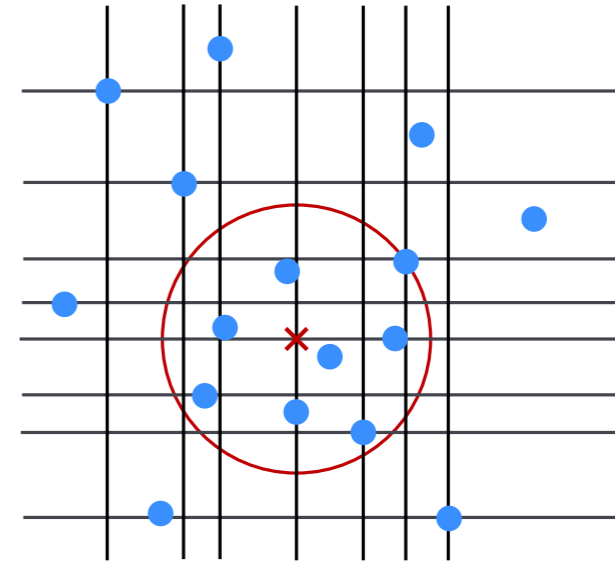
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- return the smallest disk found



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## Runtime:

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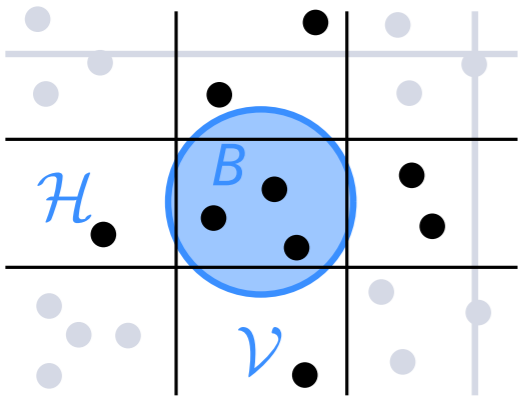
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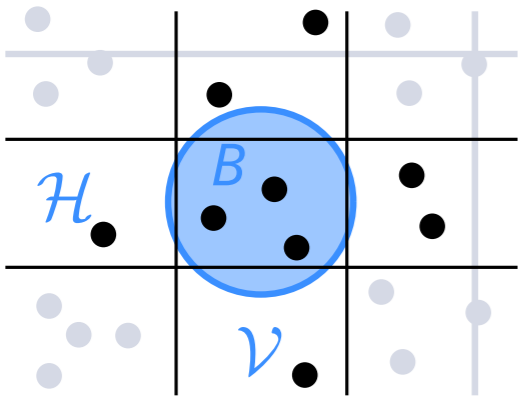
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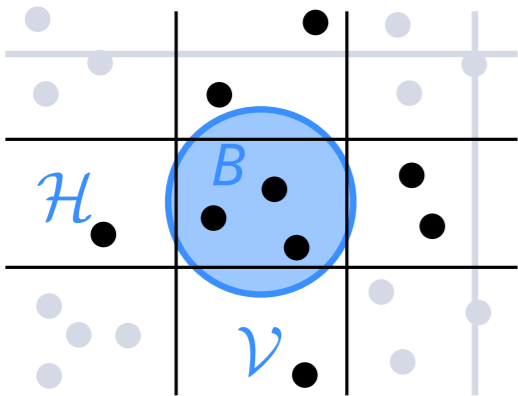
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Using grids we can compute a 2-approximation of the  $k$ -enclosing disk in  $O(n(n/k)^2)$  time.

# Quiz

Given  $P$  let  $r_{OPT}$  be the radius of the smallest  $k$ -enclosing disk. If  $\alpha < 2r_{OPT}$ , what can we say about the maximum number  $gd_\alpha$  of points in any cell of  $G_\alpha$ ?

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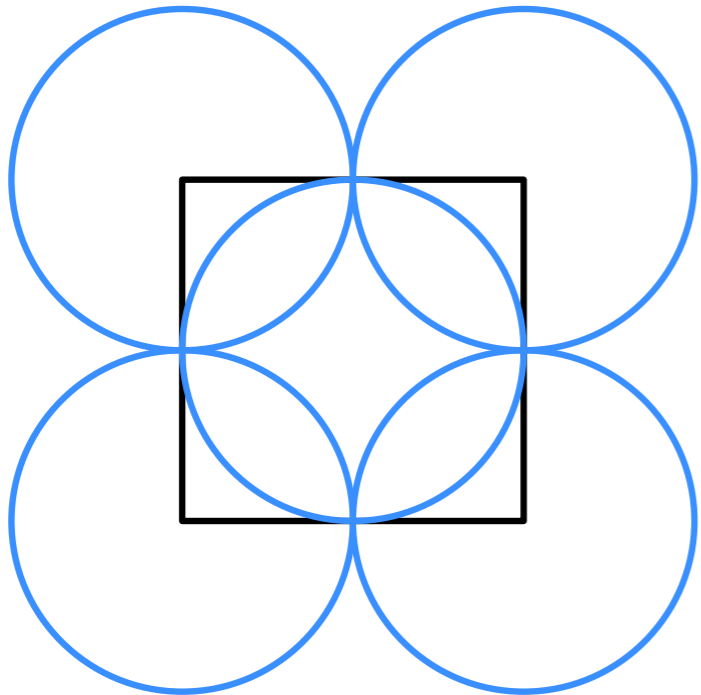
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each disk of radius  $r_{OPT}$  contains at most  $k$  points, cell covered by 5 disks

# Linear-time 2-approximation for $k$ -enclosing disk

**$k$ -Gradation:**  $P_1 \subset P_2 \subset \dots \subset P_m = P$  such that

- (a) any point  $p \in P_{i+1}$  is independently with probability  $1/2$  included in  $P_i$ ,
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## Algorithm

Compute gradation  $P_1, \dots, P_m$

$\alpha_1 \leftarrow \mathbf{algSlow}(P_1)$

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**Analysis:** discussion + see book

# Outline

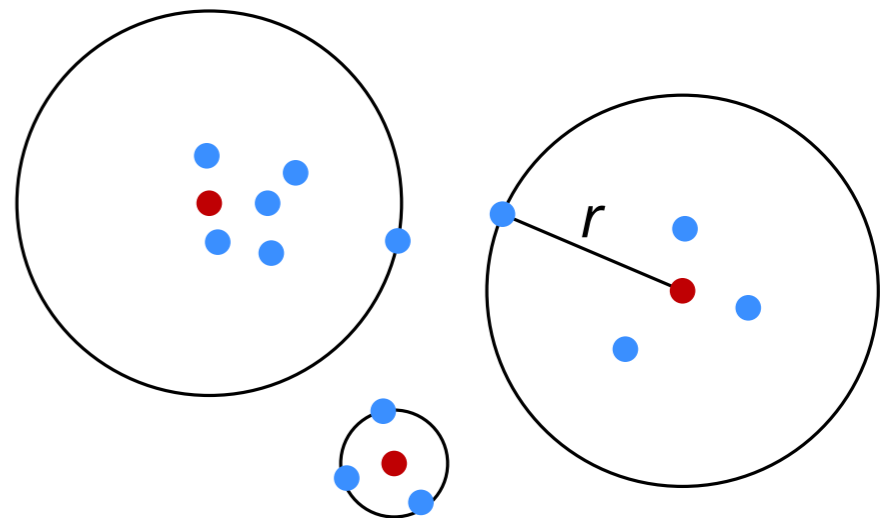
1. Closest Pair Problem – part 1  
Exercise 1.2 (A) – part 1
2. Closest Pair Problem – part 2  
Exercise 1.2 (A) – part 2
3.  $k$ -enclosing Disk Problem
4.  $(1 + \varepsilon)$ -approximation: Exercise 1.2 (B)

# Exercise 1.2 (B)

Compute clustering radius (Exercise 1.2 from book)

Let  $C$  and  $P$  be two given sets of points in the plane, such that  $k = |C|$  and  $n = |P|$ . Let  $r = \max_{p \in P} \min_{c \in C} \|c - p\|$  be the covering radius of  $P$  by  $C$ .

- (A) Give an  $O(n + k \log n)$  expected time algorithm that outputs a number  $\alpha$ , such that  $r \leq \alpha \leq 10r$ .
- (B) For  $\varepsilon > 0$ , give an  $O(n + k\varepsilon^{-2} \log n)$  expected time algorithm that outputs a number  $\alpha$ , such that  $\alpha \leq r \leq (1 + \varepsilon)\alpha$ .

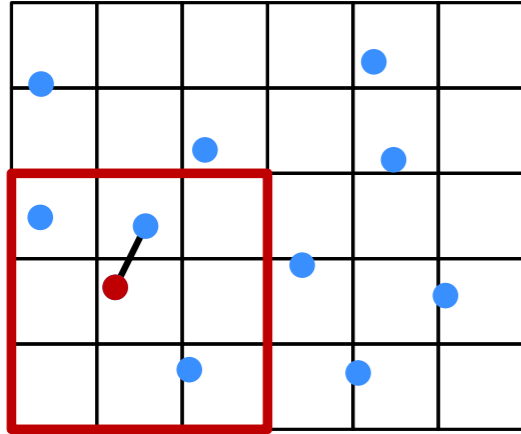


## Exercise 1.2 (B)

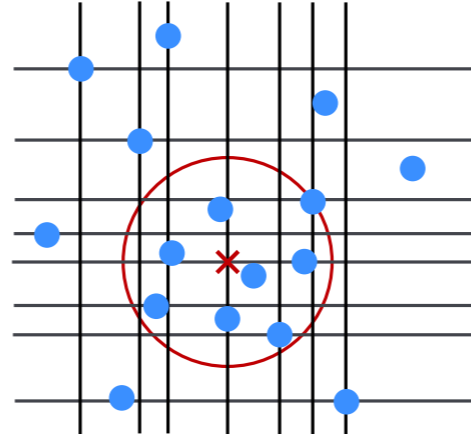
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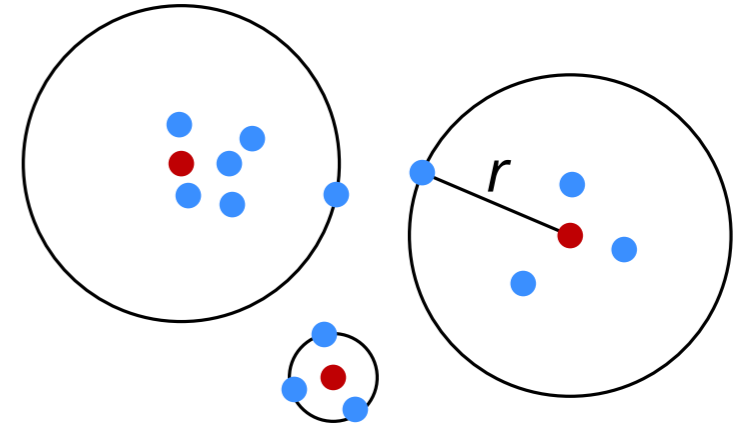
Using grids for approximation



closest pair (exact)



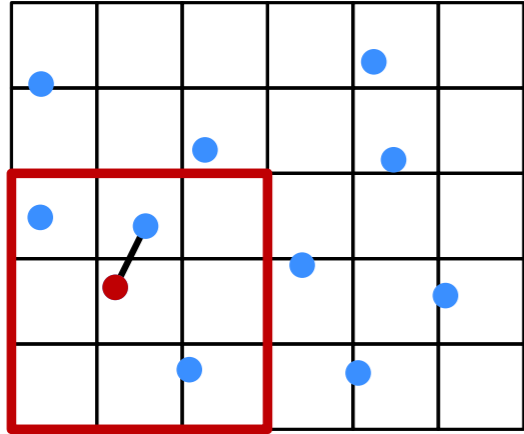
$k$ -enclosing disk



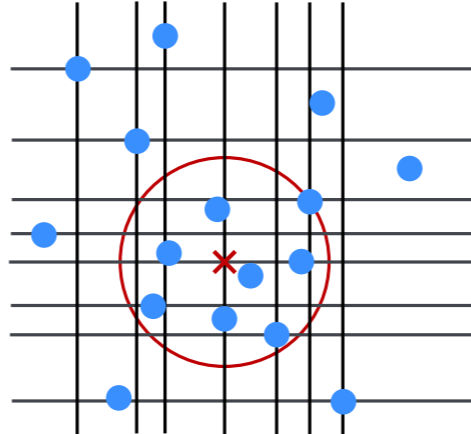
cluster radius

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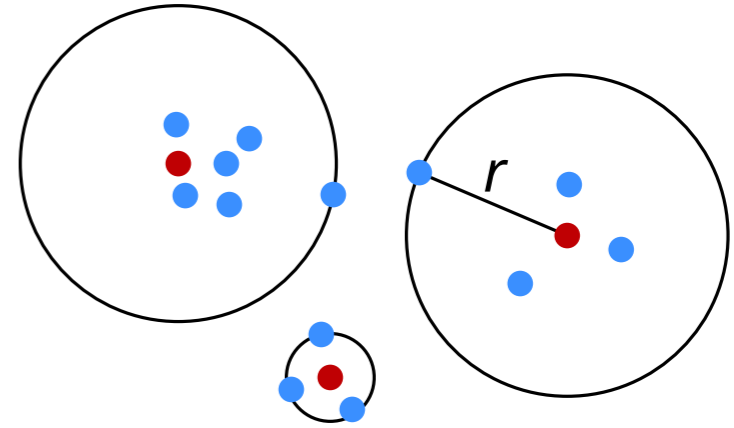
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cluster radius

## Techniques

- approximate **decision problem** allows to fix the grid
- reduce optimization problem to decision problem using few calls:  
randomization (in other settings also binary search)
- **grid vertices** as candidate solution
- **$(1 + \epsilon)$ -approximation**: grid cells of sidelength  $O(\alpha\epsilon)$  (do Exercise 1.2.B!)